Extended Work Shifts and Neurobehavioral Performance in Resident-Physicians

Shadab A. Rahman, PhD, MPH,a,b Jason P. Sullivan, BS,a Laura K. Bargar, PhD,a,b Melissa A. St. Hilaire, PhD,a,b Conor S. O’Brien, BA,a Katie L. Stone, PhD,a Andrew J.K. Phillips, PhD,b c Elizabeth B. Kierman, MD, PhD,a,b Salim Qadri, BS,a Kenneth P. Wright, Jr, PhD,a Ann C. Halbower, MD,f Jeffrey L. Segar, MD,g John K. McGuire, MD,h Michael V. Vitiello, PhD,i Horacio O. de la Iglesia, PhD,j Sue E. Poynter, MD, MEd,k Pearl L. Yu, MD,l Amy L. Sanderson, MD,m Phyllis C. Zee, MD, PhD,o Christopher P. Landrigan, MD, MPH,a,b,n Charles A. Czeisler, MD, PhD,a,b Steven W. Lockley, PhD,a,b THE ROSTERS STUDY GROUP

OBJECTIVES: Extended-duration work rosters (EDWRs) with shifts of 24 + hours impair performance compared with rapid cycling work rosters (RCWRs) that limit shifts to 16 hours in postgraduate year (PGY) 1 resident-physicians. We examined the impact of a RCWR on PGY 2 and PGY 3 resident-physicians.

METHODS: Data from 294 resident-physicians were analyzed from a multicenter clinical trial of 6 US PICUs. Resident-physicians worked 4-week EDWRs with shifts of 24 + hours every third or fourth shift, or an RCWR in which most shifts were #16 consecutive hours. Participants completed a daily sleep and work log and the 10-minute Psychomotor Vigilance Task and Karolinska Sleepiness Scale 2 to 5 times per shift approximately once per week as operational demands allowed.

RESULTS: Overall, the mean (± SE) number of attentional failures was significantly higher (P = .01) on the EDWR (6.8 ± 1.0) compared with RCWR (2.9 ± 0.7). Reaction time and subjective alertness were also significantly higher, by ~18% and ~9%, respectively (both P <.0001). These differences were sustained across the 4-week rotation. Moreover, attentional failures were associated with resident-physician–related serious medical errors (SMEs) (P =.04). Although a higher rate of SMEs was observed under the RCWR, after adjusting for workload, RCWR had a protective effect on the rate of SMEs (rate ratio 0.48 [95% confidence interval: 0.30–0.77]).

CONCLUSIONS: Performance impairment due to EDWR is improved by limiting shift duration. These data and their correlation with SME rates highlight the impairment of neurobehavioral performance due to extended-duration shifts and have important implications for patient safety.
The impact of extended-duration work rosters (EDWRs), commonly referred to as "on-call" shifts, on physician performance remains controversial. Interventions designed to reduce the frequency of or eliminate 24+ hour EDWRs have had mixed results. A number of studies have revealed that eliminating EDWRs improves patient safety and resident-physician education despite increases in hand-offs and reduced continuity of care (for review see Levine et al). More recently, however, evaluations of policies designed to eliminate 16-hour shifts for postgraduate year (PGY) 1 resident physicians have revealed no benefits in surgical morbidity and mortality (FIRST [Flexibility in Duty Hour Requirements for Surgical Trainees] Trial) or medical patient mortality (iCOMPARE [Individualized Comparative Effectiveness of Models Optimizing Patient Safety and Resident Education]) associated with the limit. The Accreditation Council for Graduate Medical Education (ACGME) restored 24- to 28-hour EDWR for PGY 1 resident-physicians in 2017. Remaining awake for ≥16 hours causes substantial impairment in a range of performance measures. Similarly, sleep deficiency due to chronic partial sleep loss also rapidly impairs performance. Sleeping while on call, a practice generally recommended for physicians, does not alleviate performance degradation and also risks introducing substantial impairment due to sleep inertia. Finally, working 24+ hours requires physicians to work at an adverse circadian phase when performance impairment and subjective sleepiness is highest, an effect that is exacerbated when combined with extended time awake.

Given the difficulty of evaluating the impact of alertness management solutions on medical error rates, putative intermediate outcomes have been used, including medical simulators and simple neurobehavioral performance tests. The Psychomotor Vigilance Task (PVT), or reaction time test variants thereof, requires reacting to repeated, randomly appearing visual or auditory stimuli as quickly as possible. In the medical setting, reaction time and attentional failures are sensitive to the effects of a single night of acute sleep deprivation while on call, as well as the effects of chronic sleep loss associated with repeated extended-duration work shifts. Although the PVT has been used to assess occupational performance impairment in other safety-sensitive professions, the validity of the PVT for predicting risks to medical performance is not documented. The aims of the current study were to assess the impact of a work schedule intervention designed to minimize extended-duration work shifts on PVT performance and to examine the relationship between PVT performance and medical error rates.

METHODS

Design Overview

Full details of the study design are reported elsewhere. Briefly, the Randomized Order Safety Trial Evaluating Resident-Physician Schedules (ROSTERS) study was a multicenter cluster-randomized crossover clinical trial designed to evaluate the effectiveness of eliminating resident-physicians’ traditional shifts of 24 hours or longer in 6 US PICUs. The units were studied under both the traditional EDWR, with regular 24- to 28-hour shifts or to a rapid cycle work roster (RCWR) intervention schedule that attempted to limit resident-physicians’ scheduled continuous work to 16 hours maximum. The order of the schedule condition was randomly assigned within 3 pairs of units. Each condition had a 4-month wash-in followed by 8 months of data collection.

Participants

All PGY 2 and PGY 3 resident-physicians working in the PICU over the study period were invited to participate and could volunteer for observation only (ie, direct observation by a physician while working in the unit) or full participation (ie, observation plus individual sleep, work, and performance data collection). The study did not include PGY 1 resident-physicians. Individual rotations in the PICU lasted ~4 weeks, and resident-physicians could complete multiple PICU rotations during the study. Volunteers provided written consent and were offered an incentive (eg, iPad or cash equivalent) for participation. The institutional review board at each academic medical center as well as at Sutter Health (Data Coordinating Center [DCC]) and the Partners Human Research Committee (Clinical Coordinating Center [CCC]) approved the study. A Certificate of Confidentiality was obtained from the National Institutes of Health to protect the privacy of research participants.

A total of 312 resident-physicians volunteered for the individual-level data collection, as shown in Figure 1. Of these, a total of 358 data sets with both PVT and sleep diary data were analyzed from 294 unique individuals (173 women, age 29.4 ± 2.4 [SD] years; range 25–42 years, 2 unknown). 239 resident-physicians contributed data from 1 rotation in the analysis (n = 109 while working a traditional EDWR, n = 130 while working an intervention RCWR), 49 contributed 2 rotations (in order; n = 8 EDWR and RCWR; n = 10 RCWR and EDWR; n = 16 EDWR and EDWR; n = 15 RCWR and RCWR), 3 resident-physicians contributed 3 rotations (n = 2 RCWR, RCWR, and EDWR; n =
and 3 contributed 4 rotations ($n = 3$ RCWR, RCWR, EDWR, and EDWR).

**Shift Schedules**

Full details of the shift schedules are provided elsewhere. The traditional schedules required resident-physicians to work an EDWR of 24 to 28 scheduled consecutive hours every third or fourth shift (starting every fourth or fifth shift) for 4 weeks. During the EDWR, resident-physicians at 5 of 6 sites worked a 4-day rotation with 2 to 15-hour day shifts followed by an on-call shift beginning in the morning and ending 24 to 28 hours later. One site worked a 5-day rotation with 2 to 13-hour day shifts followed by a day off and then a 16-hour overnight shift that started at 6 PM (Supplemental Fig 7).

During the intervention RCWR, resident-physicians at 5 of 6 sites worked a 4-day rotation with 2 to 11 to 15-hour day shifts followed by a 16-hour overnight shift that started in the evening and ended the next morning. The remaining site worked a 5-day rotation with 2 to 13-hour day shifts followed by a day off and then a 16-hour overnight shift that started at 6 PM (Supplemental Fig 7).

**Measurements and Outcomes**

**Objective Neurobehavioral Performance**

Neurobehavioral performance was assessed by using a 10-minute PVT, a standard measure of sustained visual attention. Resident-physicians were asked to complete the PVT approximately every 5 hours during a shift, including the beginning and end of the shift, once per week, as operational demands allowed.

A total of 358 resident-physician rotations were analyzed (traditional $n = 169$, intervention $n = 189$), including a total of 4414 tests (traditional $n = 2364$, intervention $n = 2050$; mean ± SD tests per resident-physician rotation $n = 14.0 ± 5.1$ [range: 2–23] for the EDWR group and 10.9 ± 3.2 [range: 2–17] tests for the RCWR group). Each PVT test was assigned a time-of-day bin. For the EDWR, the bins were the following: bin 1 (day 1): 6:00 AM to 2:59 PM; bin 2 (evening): 3:00 to 10:59 PM; bin 3 (night): 11:00 PM to 5:59 AM; bin 4 (day 2): 6:00 AM to 2:59 PM. For the RCWR, the bins had the same times, but data were binned in relation to the long day-call (tests between 6:00 AM and 10:59 PM [bins 1–2]) or long night-call (tests between 3:00 PM on day 1 and 2:59 PM on day 2 [bins 2–4]). Note, bin 1 spanned 5:00 AM to 2:59 PM for 1 site because of an earlier shift start time. Each resident did not always contribute to each bin; when 2 tests occurred in the same bin, they were averaged for that individual in subsequent analysis. Unequal contributions were accounted for in the statistical analysis as described in detail in the Supplemental Information; briefly, all 4 regression models were adjusted for the log number of tests taken by each individual.

Subjective Sleepiness, Sleep, and Work

Resident-physicians completed the Karolinska Sleepiness Scale (KSS) before each PVT. KSS ≥8 was defined as severe sleepiness on the basis of previous work associating these levels consistently to accident risk. Resident-physicians also completed a daily electronic sleep and work log.
Medical Errors and Resident-Physician Workload

Full details of the medical errors assessment are available elsewhere. Briefly, an intensive 4-pronged approach was used to gather data on suspected events: (1) direct observation of resident-physicians; (2) daily review of unit charts by trained research nurses; (3) collection of voluntary reports from unit staff; and (4) collection of formal hospital incident reports. The retrospective review included every chart of every patient cared for by residents, and the study units were reviewed 5 days per week (Monday review included a review of the weekend). Two people from a team of independent, trained physician-reviewers, blinded to study condition, reviewed each event and defined whether it was an adverse event and caused harm; a potential adverse event, also known as “near miss”; error with little or no potential for harm; or excluded. Harmful events were further classified by the level of harm and preventability. In the current analysis, only directly traceable resident-physician–related preventable adverse events, classified as resident-physician–related serious medical errors (SMEs), were used. The ratio of ICU patients per resident-physician (IPRP) was calculated as a proxy measure of resident-physician workload. See Supplemental Information for additional information.

Statistical Analysis

The main a priori performance hypothesis (major secondary end point of the overall trial) was that resident-physicians’ risk of objective performance attentional failures, as assessed through PVT lapses, would be lower on the RCWR as compared with the EDWR. Exploratory analyses were performed on PVT mean reaction time and mean of the slowest 10% of responses and KSS. All 3 were hypothesized to be higher during the EDWR. Additional exploratory analyses were performed to compare the main performance outcome (attentional failures on the PVT) with medical error rates. Neurobehavioral performance and error rates were calculated for each resident and correlated at the resident-physician level. See Supplemental Information for additional information.

RESULTS

Effect of Study Condition

There was a significant main effect of study condition for all outcomes, with significantly fewer attentional failures (mean ± SE, 2.9 ± 0.7 vs 6.8 ± 1.0; P = .01), faster mean reaction time (283.7 ± 6.3 vs 336.2 ± 7.2; P < .0001), slowest 10% reaction time (509.9 ± 24.6 vs 683.8 ± 31.8; P < .0001), and lower sleepiness ratings (4.4 ± 0.1 vs 4.8 ± 0.1; P < .0001) on the RCWR as compared with the EDWR, respectively. The distribution and regression-estimated mean (± SE) for each outcome is revealed in Fig 2. Furthermore, there was a 43% decrease in the odds of having a 10-min PVT session with at least 2 attentional failures on the EDWR compared with the RCWR (odds ratio: 0.57 [95% confidence interval (CI): 0.50–0.64]; P < .05) and a 29% reduction in the odds of reporting severe sleepiness (KSS ≥ 8; odds ratio: 0.71 [95% CI: 0.62–0.78]; P < .0001).

Effect of Time on Shift

After evaluating the overall effect of the intervention on the outcomes, we further evaluated the effect of the intervention on each of the outcomes across the day and night shifts. During the day shift (bins 1–2), there was a significant difference (P < .0001) in attentional failures between conditions, but no difference in mean or slowest 10% reaction times (Fig 3). There was, however, a significant interaction between time on shift and study condition for the...
nightshift (bins 2–4, 3:00–2:59 PM), with post hoc analyses revealing that all measures of performance were significantly worse overnight (bin 3, 11:00 PM to 5:59 AM) on the EDWR compared with the RCWR (all \( P \leq .01 \); Fig 3). Sleepiness during the nightshift was also higher on the

EDWR, including overnight (bin 3, 11:00 PM to 5:59 AM) (Fig 3).

**Effect of Successive Shifts**

We further assessed the impact of cumulative shifts across the 4 weeks of the resident rotations and compared between the EDWR and RCWR conditions. As revealed in Fig 4, there was an increase in attentional failures with increasing weeks for both schedules \( (P < .0001) \) with post hoc analyses revealing a significant worsening of attentional failures during the third and fourth weeks of each schedule (both \( P < .05 \)). Attentional failures were consistently lower on the RCWR than on the EDWR \( (P < .0001) \), however; and the worsening of attentional failures across the 4 weeks was significantly reduced on the RCWR compared with the EDWR \( (P < .05) \). Other measures of performance and sleepiness also deteriorated with study week \( (P < .05; \text{Fig } 4) \).

Moreover, we assessed whether the effect of the scheduling intervention was modulated by time on shift, when on the night shift or day shift, and across the 4-week rotation. When examined by time of day and week of rotation, both conditions revealed a gradual increase in attentional failures with increasing duration on shift, primarily during the night hours (11:00 PM to 05:59 AM) in the third and fourth weeks of the rotation (Fig 5). Similar results were observed for subjective sleepiness (Supplemental Fig 8).

**Attentional Failures and Medical Error Rates**

The average rate of resident-physician–related SMEs increased by ∼1% with each additional PVT attentional failure (rate ratio [RR] 1.01 [95% CI: 1.00–1.02]; \( P = .04 \), Supplemental Fig 9) after adjusting for site, schedule and order of randomization. Although neurobehavioral performance improved, which, on the basis of the observed inverse association between neurobehavioral performance (attentional failures) and resident-physician–related SMEs, would indicate a reduction in the rate of medical errors under the intervention condition, we instead found that the average rate of resident-
The rate of resident-physician–related SMEs per day in the study was 70% higher under the RCWR compared with the EDWR (RR 1.70 [95% CI: 1.48–1.96]; \( P < .0001 \)).

There were, however, a number of potential confounders that could account for the discrepancy between the neurobehavioral performance data and rates of SME across schedules. Notably, resident-physician workload increased by 22% to 30% across the 6 sites under the RCWR compared with the EDWR.18

Given that increase in workload, we investigated the relationship between resident-physician workload and the rate of SMEs. As revealed in Fig 6, we found that the rate of SMEs increased significantly with workload in this group. Therefore, we analyzed the impact of the intervention on the rates of resident-physician–related SMEs while adjusting for workload (IPRP). After adjusting across sites for average IPRP during the EDWR and RCWR intervals, the rate of resident-physician–related SMEs was significantly lower for the RCWR than the EDWR (RR 0.48 [95% CI: 0.30–0.77], \( P = .002 \)) in this cohort.

**DISCUSSION**

We found that eliminating 24- to 28-hour EDWRs and scheduling 16-hour maximum work shifts improved neurobehavioral performance and reduced sleepiness in resident-physicians over a 4-week PICU rotation, particularly overnight. Repeated exposure to EDWR resulted in cumulative performance decrements such that by the fourth week of the rotation, performance and attentional failures at the start of shift were worse than performance at the end of shift in the first week. Although performance also deteriorated over the 4-week RCWR rotation, the severity was much reduced compared with the EDWR. The rate of resident-physician–related SME was correlated to the average number of attentional failures, suggesting that this relatively simple test is operationally relevant in assessing physician performance and the likelihood of committing a preventable adverse event. Contrary to the observed improvement in neurobehavioral performance, however, the overall rate of resident-physician–related SME increased under the RCWR compared with the EDWR. After adjusting for workload, which increased between 22% and 30% across sites under the RCWR, the rates of SMEs on the RCWR decreased in this cohort by >50%.

Improved sleep during the RCWR may account in part for the improvements observed in neurobehavioral performance and reduction in risk of SMEs when adjusted for workload. As we reported elsewhere, under the EDWR, resident-physicians slept significantly less (mean ± SD, 47.5 ± 4.5 hours per week) as compared with the RCWR (49.1 ± 4.9 hours per week).23 Importantly, the distribution of sleep loss relative to work was also altered: During the EDWR, 10% of work hours were preceded by <2 hours of sleep in the preceding 24 hours, as compared with only 4% during the RCWR. Furthermore, the percentage of work hours preceded by ≥8 hours of sleep in the last day was higher during the RCWR (38%) than the EDWR (23%).23

The direct demonstration of a significant correlation between attentional failures as measured by the PVT and medical errors is important because the PVT is easier to measure and has been used extensively to evaluate the impact of work schedules on performance. The correlation observed between this laboratory measure and the risk of medical errors is consistent with a previous meta-analysis revealing that 24 hours of sleep loss had a similar impact on laboratory measures of performance in non-physicians as it did on clinical...
performance among physicians. Our finding that PVT attentional failures and medical error rates are correlated suggests that, at least at a group level, PVT performance may be a useful workplace metric to predict medical performance.

In previous studies, researchers have examined psychomotor performance in medical residents using the 10-minute PVT reported herein and have found a similar degree of impairment due to EDWR.11 The level of impairment in resident-physicians post call is equivalent to that observed when rested but with a blood alcohol concentration of 0.04% to 0.05%.17 Similar performance impairments have been observed post call by using a shorter 3-minute test,14 and, in a negative noninferiority analysis of PVT performance in PGY 1 resident-physicians, there was also evidence of poorer performance post call in programs with EDWRs, as compared with those which complied with a 16-hour duty limit.25 Collectively, these and the current data are consistent in revealing that the PVT can detect impairments in resident-physician vigilance when working EDWR and that abolition of 24-hour shifts reduces this impairment. In addition, we note that the average KSS scores in this trial were elevated at the start of shift by the fourth week compared with the first week (Fig 5), suggesting that the KSS combined with the PVT may be a useful indicator of impairment under such an extreme challenge.16 Such “fitness-for-duty” testing may therefore be able to prevent avoidable sleepiness-related medical errors from reaching the patient.

In our study, we address the shortcomings of previous work by studying several hundred resident-physicians at multiple sites and including more experienced PGY 2 and PGY 3 resident-physicians. There remain limitations, however. First, the RCWR schedule was not uniformly implemented and, in some sites, resulted in work hours that may not be considered an improvement over the EDWR. Second, workload at baseline was highly variable between sites, with resident-physicians at some PICUs caring for as many as ~10 patients per resident-physician, which could affect overall sleepiness and medical performance, although the intervention still resulted in a clear improvement in indicators of sustained attention. Although we analyzed the impact of resident-physician workload on rates of SMEs using IPRP estimates as a proxy measure of workload, and our results suggest that variability in resident-physician workload may have impacted the effectiveness of our scheduling intervention across sites, we did not set out to explicitly test the effects of workload on our intervention. The significant interaction between site and average workload (IPRP) makes it difficult to disentangle conclusively the main

![Figure 5](https://example.com/figure5.png)

**Figure 5** Objective performance during the traditional EDWR and intervention RCWR schedules during the day and night across the 4-week study. Least-square means (± SE) are estimated from the mixed-effects model under the EDWR (white triangles) and RCWR (black triangles) schedules during the day (top row) and night (bottom row) for attentional failures (lapses, reaction time >500 milliseconds) plotted across the 4 weeks. Note that under the day shift interval of 5:00 AM to 2:59 PM, Cincinnati started at ~5:00 AM, and all others started at ~6:00 AM.*P < .05, significant differences between conditions at each time point with Tukey-Kramer adjusted post hoc analysis. Shift*CT*Week, interaction between the fixed effects shift, clock time, and week.
independent effects of the intervention and workload on medical error rates. Furthermore, IPRP does not take into account other caregivers who may have impacted overall workload at each site, and our analysis was restricted to resident-physician–related errors and not overall unit-wide errors. Future studies with more exact measures of workload are needed to confirm these exploratory findings and should explicitly test the interaction between workload and schedule design. Third, individual resident-physicians did not contribute equally to the data distribution, a problem inherent in real-world studies. We addressed this by using linear mixed models and generalized estimating equations that are robust to missing data and unbalanced designs27,28 as well as including offsets to further account for the unequal number of observations for each participant. Despite these limitations, the impact of the RCWR intervention schedule on neurobehavioral and medical performance, together with the role of workload on medical error rates, reveals that both physiologic and external variables need to be carefully considered when designing and implementing work schedules.

In 2011, on the basis of the 2009 recommendations of the National Academy of Medicine, the ACGME mandated a limit of 16 hours of continuous work for PGY 1 resident-physicians (although 88 hour work weeks, averaged over 4 weeks, were still permitted in more senior resident-physicians, and many programs conducting research were exempted from the regulations).1,20,26 The 2011 ACGME work hour restrictions, however, were unfunded, contrary to the National Academy of Medicine recommendations, which specifically warned against implementation without additional staffing to prevent an increase in workload and workload-related risk. Other recommendations (for example, ensuring adequate time off between shifts) were not included in the ACGME regulations, allowing rosters that could induce severe chronic sleep deficiency.29 In several large studies (but not all30), it has since been reported that the limitations had little clinical benefit3,4 but these did not account for workload, patient census, or the way in which the schedules were implemented. In 2017, the limitations on PGY 1 duty hours were reversed, again permitting 28 hours of continuous duty for all residents.5

In our study, we indicate that this policy reversal is premature. Both extended-duration work shifts and increased workload compose a serious threat to patient safety, and both of these factors should be considered in the design of work rosters for resident-physicians. Moreover, the deterioration in cognitive performance associated with EDWRs is of particular concern for graduate medical education, given the essential role of sleep for learning and memory.31 We found that the substantial impairment in objective performance and subjective sleepiness inherent in an EDWR is significantly improved by implementation of a RCWR that limits shift duration, including a significant reduction in attentional failures. These data, and their correlation with serious medical error rates, highlight the impairment of neurobehavioral performance that occurs when resident-physicians work extended-duration shifts and have important implications for both resident-physician and patient safety.

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Dr Landrigan fulfilled 2 roles: ROSTERS Study Multiple Principal Investigator (with Dr Czeisler) of the Clinical Coordinating Center and Site Principal Investigator at Boston Children’s Hospital.

ABBREVIATIONS

ACGME: Accreditation Council for Graduate Medical Education

CC: clinical coordinating center
CI: confidence interval

DC: data coordinating center

EDWR: extended-duration work roster

IPR: ICU patients per resident-physician

KSS: Karolinska Sleepiness Scale

PGY: postgraduate year

PVT: Psychomotor Vigilance Task

RCWR: rapid cycling work roster

ROSTERS: Randomized Order Safety Trial Evaluating Resident-Physician Schedules

RR: rate ratio

SME: serious medical error
FINANCIAL DISCLOSURE: All authors have completed the International Committee of Medical Journal Editors uniform disclosure form at www.icmje.org/coi_disclosure.pdf and declare the following: no support from any organization for the submitted work, no financial relationships with any organizations that might have an interest in the submitted work in the previous 3 years, and no other relationships or activities that could appear to have influenced the submitted work. In the interest of full disclosure, individual competing interest statements are below: Dr Rahman holds patents for prevention of circadian rhythm disruption by using optical filters and improving sleep performance in subjects exposed to light at night; Dr Rahman owns equity in Melcor Inc and has provided paid consulting services to Sultan & Knight Limited and Bambu Vault, LLC; Dr Rahman has received honoraria as an invited speaker and travel funds from Starrys Skye Lake Superior; University of Minnesota Medical School, PennWell Corp, and Seoul Semiconductor Co, LTD; Dr Barger is on the scientific advisory board for CurAegis Technologies. 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