Alcohol-Related Neurobehavioral Disabilities: Need for Further Definition and Common Terminology

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The adverse effects of alcohol on the developing human comprise a continuum of disabilities termed fetal alcohol spectrum disorders (FASD). In the current issue of Pediatrics, Hagan et al1 discuss the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5), of the American Psychiatric Association’s suggestion for inclusion of a new mental health diagnosis as part of this continuum; that is, neurobehavioral disorder associated with prenatal alcohol exposure (ND-PAE).2 The present commentary addresses the definition of ND-PAE, how it intersects with the existing diagnostic categories of FASD (fetal alcohol syndrome [FAS], partial fetal alcohol syndrome [PFAS], alcohol-related birth defects, and alcohol-related neurodevelopmental disorder [ARND]),3 and the need for ongoing research and development of common terminology for FASD.

ND-PAE is listed in DSM-5 as an experimental “Condition for Further Study,” and thus currently cannot be diagnosed. However, the effects of prenatal alcohol exposure are also included under “Other Specified Neurodevelopmental Disorder” (315.8) with a slightly different definition.2 In both cases, this category is intended for use by mental health professionals, including psychiatrists, psychologists, social workers, nurses, and counselors who cannot make a diagnosis using medical codes.4 DSM-5 codes trigger payment for behavioral health services and can help direct referral of affected children for necessary interventions and treatments, making it important for pediatricians to become familiar with the term. In addition, conceptualizing the behavior of alcohol-affected children in these terms can help pediatricians screen for and monitor FASD when it presents in their practices. Better identification and monitoring of affected children will benefit patients, allow evaluation of the reliability and validity of ND-PAE, and permit exploration of the utility of applying the diagnosis to all exposed individuals.

At present, it is premature to assume that ND-PAE, as defined in the accompanying article,1 applies to all of the behavioral and mental health effects observed in FASD, with and without the classic structural features associated with prenatal alcohol exposure. We assert that ARND should continue to be a recognized diagnostic category, at least for the time being. It is a well-validated entity that can be assigned in a multidisciplinary medical diagnostic setting.5 In addition, since the structural findings in FAS and PFAS are more specific and predictive of FASD than the neurobehavioral phenotype, it has been suggested that the neurobehavioral diagnostic criteria in children with the classic dysmorphic features associated with prenatal alcohol exposure (FAS/PFAS) need not be identical to those in affected children without dysmoria (ARND), who may not have all the characteristics of ND-PAE.5 As proposed, the ND-PAE criteria require a greater number of neurobehavioral...
characteristics than in ARND. Further study of ND-PAE, particularly if it is performed in a sizable research cohort, may eventually lead to endorsement by major health professional organizations, including the American Academy of Pediatrics.

Hagan et al suggest that ND-PAE can be assigned as a comorbid diagnosis accompanying a diagnosis of FAS or PFAS. By definition (in all diagnostic systems for FASD), specific neurobehavioral/neurodevelopmental criteria must be met to assign a diagnosis of FAS or PFAS. Thus, dual assignment of a diagnosis of ND-PAE and FAS or PFAS may seem redundant to the physician. However, because many mental health practitioners cannot assign medical diagnoses, such as FAS, the DSM-5 code permits billing for services that would otherwise not be reimbursed.

The authors of this commentary are cognizant of the resource limitations that face individuals seeking an FASD diagnosis. However, because the alcohol-affected child often has both physical and developmental problems, the assignment of an FASD diagnosis is best accomplished by a multidisciplinary team comprising members with complementary skills, experience, and qualifications.

The approach outlined by Hagan et al makes such a multidisciplinary assessment optional. We suggest that every child who is evaluated for FASD must have expert assessment of growth and dysmorphology in addition to skilled neurobehavioral/neurodevelopmental evaluation. A multidisciplinary team approach is a time-honored, well-proven method of providing accurate, up-to-date, and comprehensive diagnosis and management for children with disabilities and chronic/complex conditions. Rather than excluding the primary pediatrician from the care of the affected child, the multidisciplinary approach requires that the team work closely with the pediatrician to provide an all-inclusive medical home. Some argue that the paucity of pediatricians trained in dysmorphology makes an FASD multidisciplinary team impractical. Instead of designing a system that may provide an incomplete evaluation of potentially affected children, training of pediatric residents and general pediatricians in this area should be a priority. In fact, Jones et al reported on the accuracy of pediatricians in recognizing FAS after a relatively short training session.

Although the original Institute of Medicine framework continues to provide the most comprehensive description of the continuum of disabilities in FASD, it was never intended to be the final word on this issue, and after 2 decades of research and hundreds of publications, the medical literature still demonstrates a lack of uniformity in diagnostic approach and associated terminology. Given the high prevalence of this group of disorders and their preventable nature, it behooves investigators in the field to search for common ground. A further, comprehensive investigation of the ND-PAE construct is a step in the right direction.

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**ABBREVIATIONS**

- ARND: alcohol-related neurodevelopmental disorder
- DSM-5: *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition*
- FAS: fetal alcohol syndrome
- FASD: fetal alcohol spectrum disorders
- IOM: Institute of Medicine
- ND-PAE: neurobehavioral disorder associated with prenatal alcohol exposure
- PFAS: partial fetal alcohol syndrome

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