

Maternal and Infant Factors Predicting Disease Progression in Human Immunodeficiency Virus Type 1-Infected Infants

Kenneth C. Rich, MD*; Mary G. Fowler, MD‡; Lynne M. Mofenson, MDS; Rasha Abboud, MS||; Jane Pitt, MD¶; Clemente Diaz, MD#; I. Celine Hanson, MD**; Ellen Cooper, MD‡‡; and Hermann Mendez, MD§§, for the Women and Infants Transmission Study Group

ABSTRACT. *Background.* Infants with perinatally acquired human immunodeficiency virus type 1 (HIV-1) infection have widely variable courses. Previous studies showed that a number of maternal and infant factors, when analyzed separately, are associated with infant HIV-1 disease progression. In this study, clinical, virologic, and immunologic characteristics in the mothers and infants were examined together to determine the predictors of disease progression by 18 months of age and the associations with rapid progression during the first 6 months of life.

Methods. One hundred twenty-two HIV-1-infected women whose infants were HIV-1 infected were identified from the Women and Infants Transmission Study (WITS) cohort. WITS is a longitudinal natural history study of perinatal HIV-1 infection carried out in 6 sites in the continental United States and in Puerto Rico. The women were enrolled during pregnancy and their infants were enrolled at the time of delivery and followed prospectively by a standardized protocol. Virologic and immunologic studies were performed in laboratories certified by National Institutes of Health-sponsored quality assurance programs. Maternal factors in pregnancy were used as potential predictors of infant disease progression (progression to Centers for Disease Control and Prevention [CDC] Clinical Class C disease or death by 18 months of age) or as correlates of progression at <6 months of age. Infant factors defined during the first 6 months of life were used as potential predictors of progression during 6 to 18 months of age and as correlates of progression at <6 months of age.

Results. Progression by 18 months of age occurred in 32% of infants and by 6 months of age in 15%.

Maternal characteristics that, by univariate analysis, were significant predictors of infant disease progression by 18 months of age were elevated viral load, depressed CD4⁺%, and depressed vitamin A. CD8⁺%, CD8⁺ activation markers, zidovudine (ZDV) use, hard drug use, and gestational age at delivery were not. When examined in a

combined multivariate analysis of maternal characteristics, only vitamin A concentration independently predicted infant progression.

Infant characteristics during the first 6 months of life that, by univariate analysis, were associated with disease progression included elevated mean viral load at 1 to 6 months of age, depressed CD4⁺%, CDC Clinical Disease Category B, and growth delay. Early HIV-1 culture positivity (<48 hours), CD8⁺%, CD8⁺ activation markers, and ZDV use during the first month of life did not predict progression. Multivariate analysis of infant characteristics showed that the only independent predictors were progression to CDC Category B by 6 months of age (odds ratio [OR], 5.80) and mean viral load from 1 to 6 months of age (OR, 1.99).

The final combined maternal and infant analysis included the significant maternal and infant characteristics in a multivariate analysis. It showed that factors independently predicting infant progression by 18 months of age were progression to CDC Category B by 6 months of age (OR, 5.80) and elevated mean HIV-1 RNA copy number at 1 to 6 months of age (OR, 1.99).

The characteristics associated with rapid progression to CDC Category C disease or death by 6 months of age were also examined. The only maternal characteristic associated with progression by 6 months in multivariate analysis was low maternal CD4⁺%. The infant characteristics associated with progression by 6 months of age in multivariate analysis were depressed mean CD4⁺% from birth through 2 months and the presence of lymphadenopathy, hepatomegaly, or splenomegaly by 3 months. Infant ZDV use was not associated with rapid progression.

Conclusion. The strongest predictors of progression by 18 months are the presence of moderate clinical symptoms and elevated RNA copy number in the infants in the first 6 months of life. In contrast, progression by 6 months is associated with maternal and infant immune suppression, and the presence of infant clinical symptoms. The difference suggests that the key pathogenetic mechanisms responsible for progression may vary with age. These observations help provide direction for future pathogenesis research and assist in clinical care. *Pediatrics* 2000;105(1). URL: <http://www.pediatrics.org/cgi/content/full/105/1/e8>; *human immunodeficiency virus type 1, infant, maternal, disease progression.*

From the *Department of Pediatrics, University of Illinois at Chicago, Illinois; ‡National Institute of Allergy and Infectious Diseases, Bethesda, Maryland; §National Institute of Child Health and Human Development, Bethesda, Maryland; ||New England Research Institutes, Watertown, Massachusetts; ¶Columbia University College of Physicians and Surgeons, New York, New York; #University of Puerto Rico, San Juan, Puerto Rico; **Baylor College of Medicine, Houston, Texas; ‡‡Boston City Hospital, Boston, Massachusetts; and §§State University of New York Health Science Center, Brooklyn, New York.

Received for publication Feb 16, 1999; accepted Aug 13, 1999.

Reprint requests to (K.C.R.) University of Illinois at Chicago, Department of Pediatrics, M/C 856, 840 S Wood St, Chicago, IL 60612. E-mail: kenrich@uic.edu.

PEDIATRICS (ISSN 0031 4005). Copyright © 2000 by the American Academy of Pediatrics.

ABBREVIATIONS. HIV-1, human immunodeficiency virus type 1; AIDS, acquired immunodeficiency syndrome; WITS, Women and Infant Transmission Study; CDC, Centers for Disease Control and Prevention; ZDV, zidovudine; PCR, polymerase chain reaction; ACTG, AIDS Clinical Treatment Group; NIAID, National Institute of Allergy and Infectious Disease; VQA, Viral Quality Assurance program; IUPM, infectious units per million cells; OR, odds ratio.

Infants with perinatally acquired human immunodeficiency virus type 1 (HIV-1) infection have widely variable disease courses and lengths of survival. Approximately 15% to 20% of infected untreated infants progress rapidly to acquired immunodeficiency syndrome (AIDS) and death by 4 years of age.¹ Understanding the factors that predict progression is critical to both delineate the pathogenesis of HIV-1 infection and to develop and implement aggressive therapeutic regimens.

Most previous smaller studies concentrated on examining individual maternal and infant factors associated with rapid progression.¹⁻¹⁸ The Women and Infants Transmission Study (WITS), a large prospectively acquired cohort of HIV-1-infected women and their infants, offers a unique opportunity to examine the multifactorial nature of maternal and infant factors that jointly contribute to rapid progression. This analysis of the WITS cohort reports on both maternal and infant factors that predict progression between 6 and 18 months of age and progression before 6 months of age.

METHODS

Description of the Study Protocol

WITS is a multicenter, longitudinal study of the natural history of HIV-1 infection in pregnant HIV-1-infected women and their infants. The institutional review board approved the study protocol at each study site, and written informed consent was obtained from all participants before study entry. The study sites include Massachusetts (subsites in Boston and Worcester), New York (centers in Manhattan and Brooklyn), Texas (Houston), Puerto Rico (San Juan), and Illinois (Chicago).

Pregnant women were enrolled at any time during pregnancy and were seen for study visits and blood sampling at entry, 25 ± 2 weeks' gestation, 34 ± 2 weeks' gestation, and at delivery. Clinical and laboratory evaluations of the infants were performed at <7 days of life and at 1, 2, 4, 6, 9, 12, and 18 months of age and every 6 months thereafter. Bayley evaluations of mental and physical development were performed at 4, 9, and 12 months of age and every 6 months thereafter until 30 months of age.

Definitions

Infant HIV-1 infection status was defined according to the WITS definition detailed previously.¹⁹ In summary, ≥2 positive HIV-1 culture results of peripheral blood mononuclear leukocytes at any age were required to categorize an infant as infected. An infant was categorized as uninfected if the infant had ≥2 negative culture results from ≥1 month of age, at least 1 negative culture result at ≥6 months of age, and no positive culture results. A small number of infants could not be automatically classified according to the algorithm and were reviewed individually by a committee of WITS investigators who considered all clinical and laboratory data. If a definite infection category could not be established, they were excluded from the analysis.

Progression by 18 months of age was defined as attaining Centers for Disease Control and Prevention (CDC) Clinical Category C condition²⁰ or dying by 18 months of age. Nonprogressors were required to have reached the 18-month visit window (>17 months) without progression. We also examined factors contributing to progression by 6 months of age, because these factors might be different from those affecting progression by 18 months of age. Therefore, a separate analysis of progression by 6 months of age was performed and was defined as attaining CDC Clinical Category C or dying by 6 months of age.

Hard drug use was defined as reported previously.²¹ Maternal use of hard drugs (cocaine, heroin/opiates, methadone, and injection drug use) was evaluated using maternal self-report at each visit and urine toxicology on specimens collected at enrollment and delivery.

Study Cohort Composition

The analysis was performed using data from study visits before December 1, 1996. At this time, 161 infants were defined as HIV-1-infected. Three infants who had older siblings enrolled in WITS and 36 infants who had <17 months of follow-up without having met the criteria for disease progression were excluded.

Of the mother-infant pairs, 122 were available for the primary analysis of maternal factors predicting progression by 18 months of age. Of these, 39 infants (32%) had progression between birth and 18 months of age. The longest follow-up was 6 years.

For the analysis of infant factors predicting progression by 18 months of age, predictors were always defined before outcomes and the infant had to either have attained 6 months of age or died of an AIDS-related illness. Therefore, 18 infants who did not meet these criteria were eliminated in the analysis resulting in a sample of 104 infants.

For the analysis of progression by 6 months of age, 18 infants met the criteria for rapid progression and 104 did not.

Until 1994, zidovudine (ZDV) was only given for the treatment of symptomatic maternal disease. In March 1994, the results of the successful perinatal trial of the use of ZDV to prevent HIV-1 transmission became available.²² Thereafter, all pregnant women enrolled in WITS were counseled about the use of ZDV following the US Public Health Service guidelines for the prevention of HIV-1 transmission to their infants. Of the 102 mother-infant pairs who had the information recorded, 28% used ZDV during pregnancy and infancy.

Laboratory Methods

Blood samples for plasma storage were drawn into specimen tubes containing heparin anticoagulant. Samples for lymphocyte phenotyping were drawn into specimen tubes containing ethylenediaminetetraacetic acid anticoagulant. Separation of the plasma usually occurred within 6 hours of drawing but occasionally samples were held for up to 24 hours. The plasma was stored at -70°C until assayed.

Viral load was determined using a commercially available HIV-1 RNA polymerase chain reaction (PCR) assay according to the manufacturers instructions as described previously (Amplicor HIV-1 Monitor Test; Roche Molecular Systems, Inc, Somerville, NJ).²³ The RNA was extracted from heparinized plasma samples using the silica extraction method of Boom et al.²⁴ The laboratories performing the assay were fully certified by the AIDS Clinical Trials Group (ACTG) National Institute of Allergy and Infectious Diseases (NIAID) Viral Quality Assurance Program (VQA). Standard samples from the quality assurance program also were included in each run. The lower limit of quantification was 400 HIV-1 RNA copies/mL of plasma. Values <400 copies/mL were arbitrarily assigned a value of 400.

Lymphocyte phenotyping was performed using standardized technology and reagents at each site. Each site participated in the ACTG/NIAID-sponsored quality assurance program as well as a special WITS quality assurance program.²⁵ In the analysis, the mean relative count (%) during the first 6 months of life was used, because, in contrast to absolute counts, there is minimal variation in CD4+ % during the first 6 months of life.²⁶

HIV-1 viral cultures were performed using the standard ACTG protocol for quantitative culture. Qualitative cultures were performed on a few occasions early in the study. All sites participated in the ACTG/NIAID VQA program. Results were reported as infectious units per million cells (IUPM).²⁷

Maternal vitamin A levels were assayed in the laboratory of Dr Richard Semba using high performance liquid chromatography on hexane-extracted stored plasma specimens.²⁸

Statistical Methods

Unless otherwise stated, maternal factors measured during pregnancy were summarized by taking the mean (eg, CD4+ % and vitamin A) or geometric mean (eg, HIV-1 RNA and IUPM) over all values during pregnancy before analysis. Similarly, unless stated otherwise, measurable infant factors were summarized by taking the mean (eg, CD4+ %) or geometric mean (eg, HIV-1 RNA) over 1 to 6 months of age. Univariate analysis to assess categorical covariates as predictors of progression by 18 months of age was performed using Fisher's exact test for dichotomous or unordered categorical covariates and the Mantel extension test for ordered

TABLE 1. Maternal Characteristics That Predict Infant Disease Progression to CDC Category C or Death Before 18 Months of Age

Factor Class	Predictor in Pregnancy of Progression by 18 Months	Nonprogressors/ Progressors	Median Value of Predictors of Nonprogressors Versus Progressors	P Value
Viral	RNA PCR – mean log ₁₀ HIV-1 RNA	72/36	4.447 vs 4.533	.052
	HIV-1 co-culture (mean IUPM)	51/27	1.940 vs 3.538	.020
Immune Clinical	CD4 ⁺ %	78/39	26.8% vs 20.3%	.002
	Vitamin A	62/32	37.7 μg/dL vs 33.4 μg/dL	.029

Progressors are infants progressing by 18 months of age, nonprogressors are infants not progressing by 18 months of age. Other factors that were not predictive ($P > .05$) were culture positivity, mean CD8⁺, mean CD8⁺CD38⁺, mean CD8⁺HLA-DR⁺, hard drug use, ZDV use during pregnancy, and gestational age.

categorical covariates. The Wilcoxon rank-sum statistic was used to compare the distributions of continuous covariates across those who progressed by 18 months of age and those who did not.

Multivariate analyses used logistic regression.²⁹ Adjusted comparisons were initially examined separately for maternal and infant factors. Factors significantly predicting progression by 18 months of age from the above models then were evaluated together. The estimated logistic regression coefficients were transformed into odds ratios (ORs). For ordered categorical covariates such as quartiles of infant mean CD4⁺%, RNA copy number, and grouped maternal CD4⁺% (<14%, 15%–28%, and ≥29%), the ORs correspond to the increase in the odds of disease progression by 18 months of age associated with being in adjacent categories. The same statistical methods were used for assessing factors associated with progression by 6 months of age although maternal and infant factors were not analyzed together because of small sample size.

RESULTS

Overall, 32% of 122 HIV-1-infected infants progressed to CDC Clinical Category C or death between birth and 18 months of age. The most common first events that resulted in a Category C categorization were encephalopathy and *Pneumocystis carinii* pneumonia. Of the rapid progressors with a Category C condition, 6 died between 6 and 18 months of age, and 3 died with no preceding Category C event.

To understand the factors predicting progression by 18 months of age, possible maternal and infant predictors were initially examined individually. The significant individual maternal and infant factors were then examined in multivariate models of maternal and of infant factors and, finally, the predictors were examined in a model that combined maternal and infant factors.

Maternal Characteristics Predicting Progression by 18 Months of Age

The viral load during pregnancy was higher, CD4⁺% lower and plasma vitamin A lower in pregnant mothers whose infants progressed by 18 months of age, compared with those whose infants did not (Table 1). The median log antenatal plasma RNA PCR copy number during pregnancy was 4.533

vs 4.447 (34 119 vs 27 990 copies per mL) in mothers of infants who had disease progression by 18 months of age, compared with those who did not ($P = .052$). Sixty-seven percent of infants whose mothers had CD4⁺% <14% at delivery, 32.1% with CD4⁺% 14% to 28%, and 12.8% with CD4⁺% >29% had progression by 18 months of age ($P = .001$; Fisher's exact test, 2 tail; n each group 12, 56, and 39, respectively). The median vitamin A was 33.4 μg/dL in women whose infants had progression by 18 months of age, compared with 37.7 μg/dL in women whose infants did not ($P = .029$; Wilcoxon).

Maternal factors that did not predict infant progression by 18 months of age included CD8⁺%, CD8⁺ activation markers (CD8⁺CD38⁺ and CD8⁺HLA-DR⁺), gestational age, hard drug use, and ZDV use during pregnancy.

The positive maternal predictors for progression by 18 months of age in the univariate analysis (viral load, CD4⁺%, and vitamin A) then were examined together in a logistic regression analysis. Of these maternal factors, only maternal vitamin A concentration independently predicted infant progression by 18 months of age ($P = .015$; OR for adjacent quartile categories = .57; Table 2).

Infant Characteristics Predicting Progression by 18 Months of Age

The viral copy number was higher, CD4⁺% lower, CDC Clinical Disease Category more advanced, and growth delayed in those infants who had progression by 18 months of age (Table 3). The mean RNA PCR copy number at 1 to 6 months of age in rapidly progressing infants was 701 455, compared with 164 816 ($P < .001$). The proportion of infants with disease progression by 18 months of age in the lowest quartile of CD4⁺% was 37.5%, compared with 15.0% in the highest ($P = .022$). Advancement to CDC Clinical Category B (moderate HIV-1-related symptoms) by 6 months of age predicted progression

TABLE 2. Logistic Regression Models: Maternal Antenatal Characteristics and Risk of Progression by 18 Months of Age in HIV-1-Infected Infants

	Unadjusted Models	Adjusted Model†
Vitamin A (mean by quartile)‡	.66 (.44, .99) .040*	.57 (.36, .89) .015*
RNA PCR (mean copies/mL by quartile)‡	1.53 (1.05, 2.24) .027*	—
Mean CD4 ⁺ % (<14% vs 14%–28% vs ≥29%)‡	.38 (.19, .74) .005*	—
n in model	94–117	91

* OR (95% confidence interval) P value.

† OR (95% CI) and P value adjusted for other 2 variables.

‡ The OR correspond to the increase in the odds of progression by 18 months of age associated with being in the higher versus the adjacent lower quartile/category of the risk factor.

TABLE 3. Infant Characteristics Defined Before 6 Months of Age That Predict Infant Disease Progression to CDC Category C Disease or Death Between 6 and 18 Months of Age

Factor Class	Predictors of Progression by 18 Months of Age*	Nonprogressors/Progressors	Value of Predictors of Nonprogressors Versus Progressors	P Value
Viral Immune Clinical	Median log ₁₀ RNA PCR 1–6 mo	79/20	5.217 vs 5.846	<.001
	Median CD4 ⁺ % through 6 mo	83/21	38.0% vs 32.7%	.027
	Progression to category B by 6 mo of age	83/21	19.3% vs 57.1%	.001
	z score (median) at 6 mo			
	Height	78/18	-.84 vs -1.84	.026
	Weight	77/19	-.48 vs -1.01	.013
	Head circumference	78/19	-.35 vs -.99	.027
	Bayley scores (median) at 4 mo			
	Mental Developmental Index	49/14	111.0 vs 98.0	<.001
Physical Developmental Index	48/14	112.0 vs 96.5	.002	

* Other factors that were not predictive ($P > .05$) were early positive cultures, CD4⁺% at birth through 2 months of age, mean CD8⁺ at 1 to 6 months, CD8⁺HLA-DR⁺% at 4 or 6 months, and CD8⁺CD38⁺ at 1–6 months, weight-for-height z score, and ZDV use from birth to 1 month of age.

TABLE 4. Logistic Regression Models: Infant Characteristics During the First 6 Months of Life and Risk of Disease Progression Between 6 and 18 Months of Age

	Unadjusted Models	Adjusted Model
RNA PCR (mean copies/mL from 1 to 6 mo of age by quartile)*	2.12 (1.29, 3.48) .003†	1.99 (1.19, 3.33) .009†
CD4 ⁺ % (mean birth through 6 mo by quartile)*	.59 (.38, .94) .025†	—
Progression to CDC Category B disease by 6 mo	5.58 (2.01, 15.51) .001†	5.80 (1.90, 17.69) .002†
Weight (z score at 6 mo by quartile)*	.57 (.37, .89) .014†	—
n in model	96–104	99

* The ORs correspond to the increase in the odds of progression by 18 months of age associated with being in the higher versus the adjacent lower quartile/category of the risk factor.

† OR (95% confidence interval) P value.

(57.1% of those in Category B by 6 months vs 19.3%; $P = .001$; Fishers exact test). Depressed growth during the first 6 months of life predicted disease progression by 18 months of age. The median z score for height in rapidly progressing infants was -1.84 versus $-.84$ ($P = .026$); weight was -1.01 versus $-.48$ ($P = .013$); and head circumference was $-.99$ versus $-.35$ ($P = .027$). The weight-for-height index did not predict progression by 18 months of age.

A number of additional infant factors did not predict progression by 18 months of age. These included early culture positivity (<48 hours), CD8⁺%, CD8⁺CD38⁺%, and ZDV use during the first month of life.

Significant infant predictors of progression by 18 months of age in univariate analysis (viral copy number, CD4⁺%, progression to CDC Category B, and weight) were incorporated into logistic regression models (Table 4). The only predictors that remained significant in the multiple regression model were progression to CDC Category B by 6 months of age ($P = .002$; OR: 5.80) and mean log₁₀ HIV-1 RNA from 1 to 6 months of age ($P = .009$; OR for adjacent quartile categories: 1.99).

Combined Maternal and Infant Characteristics Predicting Progression by 18 Months of Age

In the final combined maternal and infant analysis, the significant maternal and infant predictors of progression were incorporated into a multivariate model. The factors that remained significantly associated with progression by 18 months of age in this model were infant progression to CDC Category B by 6 months of age ($P = .002$; OR: 5.80) and quartile

of the averaged infant HIV-1 RNA copy number between 1 and 6 months of age ($P = .009$; OR for adjacent categories: 1.99).

Disease Progression by Six Months of Age

For this analysis, infants experiencing death or progression to CDC Category C disease by 6 months of age were examined ($n = 18$), and all others were classified as not having disease progression by 6 months of age ($n = 104$).

Of the maternal factors, only depressed maternal CD4⁺% during pregnancy ($P = .025$; OR for adjacent categories: 4.31) was significantly associated with progression by 6 months of age after adjusting for other covariates (mean antenatal vitamin A by quartile, mean antenatal log HIV-1 RNA copy number, and HIV-1 culture results).

Of the infant factors, only the mean CD4⁺% from birth through 2 months of age and the presence of lymphadenopathy, hepatomegaly, or splenomegaly by 3 months of age were independently associated with disease progression by 6 months of age in a multivariate analysis ($P = .014$ and $.035$, respectively). Other infant factors that were significantly associated only in univariate analysis included mean log HIV-1 RNA copy number at 1 and 2 months of age and mean CD8⁺HLA-DR⁺% from birth through 2 months of age ($P < .032$). Eighteen percent of the 22 who used ZDV in the first month of life had progression by 6 months of age, whereas 14.2% of the 99 infants who did not use ZDV had progression by 6 months ($P =$ not significant; Fisher's exact test).

A combined maternal and infant multivariate analysis was not performed because of the limited num-

ber of the infants experiencing disease progression by 6 months of age.

DISCUSSION

Identification of HIV-1-infected infants at greatest risk of disease progression by 18 months of life has important utility both for understanding the pathogenesis of perinatal HIV-1 disease and targeting aggressive early treatment strategies toward high risk infants. Although there is a large body of literature describing individual associations with disease progression, few studies have had the statistical power to simultaneously examine the contribution of both maternal and infant factors to disease progression using multivariate analysis. Using the WITS database of 122 infected infants and their mothers and multivariate modeling, we evaluated multiple maternal and infant characteristics to identify those that predict disease progression by 18 months of age.

In this study, we utilized events occurring during the first 6 months of life as the landmark predictors of disease progression during 6 and 18 months of age. We also described the characteristics associated with rapid disease progression or disease progression occurring by 6 months of age. This approach is in contrast to those using time-varying covariates that relate the risk of disease progression to current patient characteristics rather than to characteristics measured during the landmark observation period. A separate report on the time varying covariate approach in the infants was presented elsewhere (12th World AIDS Conference; June 28, 1998, to July 3, 1998; Geneva, Switzerland; Abstract 13368).

We found that, of all the maternal predictors of infant disease progression by 18 months of age, only depressed maternal plasma vitamin A during pregnancy was independently significant. Deficiency of maternal vitamin A is associated with an increased risk of HIV-1 transmission, intercurrent infection in HIV-1-uninfected or HIV-1-infected infants, and mortality.^{4,28,30,31} The increased risk of these adverse outcomes may be attributable to decreased maternally derived stores of vitamin A in the infant. However, when examined in the combined multivariate analysis, it was not significant.

Among the few multivariate studies in the literature on maternal factors and infant rapid progression, advanced maternal HIV disease during pregnancy^{2,3} and high viral load late in pregnancy or shortly after delivery were independently associated with infant disease progression. Maternal vitamin A was not examined in these studies. In agreement with our results, others have found that low CD4⁺ count (<500 per mm³), use of ZDV and the duration of rupture of the membranes were not associated with disease progression (Lambert G, Weedon J, Thea DM, et al; XI International Conference on AIDS; July 7, 1996, to July 12, 1996; Vancouver, Canada; Abstract WE.C.3461; page 138; Abstract Book 2). Infant characteristics expressed during the first 6 months of life also predicted progression during the next year of life. Despite the numerous associations reported in univariate analysis in both the current study and in the literature,^{1-3,5,8,9,11-14,18,23,31} only high

viral load during 1 to 6 months of life and clinical disease progression to CDC Category B independently predicted progression by 18 months of age.

In the final combined multivariate model that included maternal and infant characteristics, only the infant viral load from 1 to 6 months of life and progression to CDC Category B independently predicted progression by 18 months of age. None of the maternal factors independently predicted progression by 18 months of age. Thus, the intensity and lack of control of infection in the infant as manifest by the viral load and target organ disease expression (organomegaly or adenopathy) seemed to be the primary predictors of disease progression.

We also found that the factors associated with disease progression by 6 months of age were not the same as those predicting disease progression by 18 months of age. In the WITS cohort, rapid progression was associated with immune suppression as evidenced by depressed maternal CD4⁺% during pregnancy and lower infant CD4⁺% from birth through 2 months of age and the presence of lymphadenopathy, hepatomegaly, or splenomegaly. However, it was not associated with elevated viral load as was seen with disease progression after 6 months of age. Thus, disease progression by 6 months of age seemed to be associated with disruption of the immune system while disease progression by 18 months is characterized by high viral loads irrespective of CD4⁺%.

We speculate that this difference could be predicted by the observation that virologic, immunologic, and clinical characteristics during primary infection are different from in later infection.^{3,23,32} For example, the predictive value of HIV-1 RNA levels for disease progression in infected adults is greatest after the patient has achieved a steady-state level following the initial stage of primary infection.³³ Similarly, in acute perinatal infection, the risk of rapid progression during the first 6 months of life may be governed more by the beginning and relatively ineffective immune response of the neonate to HIV-1 infection than by viral load at birth or during the first month of life.

CONCLUSION

In summary, this analysis of 122 infected infant-mother pairs identified multiple predictors of progression by 18 months of age (early infant clinical symptoms and elevated RNA copy number) and associations with progression by 6 months (depressed maternal and infant CD4⁺% and early clinical symptoms). These observations should help provide direction for additional research on HIV-1 pathogenesis and assist health care providers in targeting intensive therapy toward those HIV-1-infected infants at highest risk for early morbidity and death.

ACKNOWLEDGMENTS

Principal investigators, study coordinators, program officers and funding include: Clemente Diaz, Edna Pacheco-Acosta (University of Puerto Rico, San Juan, PR; U01 AI 34858); Ruth Tuomala, Ellen Cooper, Donna Mesthene (Boston/Worcester Site, Boston, MA; U01 AI 34856); Jane Pitt, Alice Higgins (Columbia Presbyterian Hospital, New York, NY; U01 AI 34842); Sheldon Landesman,

Hermann Mendez, Gail Moroso (State University of New York, Brooklyn, NY; HD-8-2913 and RO-1-HD-25714); Kenneth Rich, Delmyra Turpin (University of Illinois at Chicago, Chicago, IL; U01 AI 34841); William Shearer, I. Celine Hanson, Norma Cooper (Baylor College of Medicine, Houston, TX; U01 AI 34840); Mary Glenn Fowler (National Institute of Allergy and Infectious Disease, Bethesda, MD); Robert Nugent, (National Institute of Child Health and Human Development, Bethesda, MD); Vincent Smeriglio (National Institute on Drug Abuse, Rockville, MD); and Sonja McKinlay, Leslie Kalish, Susan Ellis (New England Research Institutes, Watertown, MA; N01 AI 35161).

We wish to thank Judy Lew, MD, and Les Kalish, DSc, for their thoughtful review, helpful comments, and help with revisions of this manuscript. We also thank Richard Semba, MD, for performing the vitamin A assays.

REFERENCES

1. Mayaux M-J, Burgard M, Teglas J-P, et al. Neonatal characteristics in rapidly progressive perinatally acquired HIV-1 disease. *JAMA*. 1996; 275:606-610
2. Blanche S, Mayaux M-J, Rouzioux C, et al. Relation of the course of HIV-1 infection in children to the severity of the disease in their mothers at delivery. *N Engl J Med*. 1994;330:308-312
3. Tovo PA, D'Martino M, Gabiano C, et al. AIDS appearance in children is associated with the velocity of disease progression in their mothers. *J Infect Dis*. 1994;170:1000-1002
4. Semba RD, Miotti PG, Chipangwi JD, et al. Infant mortality and maternal vitamin A deficiency during human immunodeficiency virus infection. *Clin Infect Dis*. 1995;21:966-972
5. Galli L, de Martino M, Tovo P-A, et al. Onset of clinical signs in children with HIV-1 perinatal infection. *AIDS*. 1995;9:455-461
6. The European Collaborative Study. Natural history of vertically acquired human immunodeficiency virus-1 infection. *Pediatrics*. 1994;94: 815-819
7. Pizzo PA, Wilfert CM, and the Pediatric AIDS Siena Workshop II. Markers and determinants of disease progression in children with HIV-1 infection. *J Acquir Immune Defic Syndr Hum Retrovirol*. 1995;8: 30-44
8. Dickover RE, Dillon M, Gillette SG, et al. Rapid increases in load of human immunodeficiency virus correlates with early disease progression and loss of CD4+ cells in vertically infected infants. *J Infect Dis*. 1994;170:1279-1284
9. De Rossi A, Masiero S, Giaquinto C, et al. Dynamics of viral replication in infants with vertically acquired human immunodeficiency virus type 1 infection. *J Clin Invest*. 1996;97:323-330
10. Papaevangelou V, Pollack H, Rigaud M, et al. The amount of early p24 antigenemia and not the time of first detection of virus predicts the clinical outcome of infants vertically infected with human immunodeficiency virus. *J Infect Dis*. 1996;173:574-578
11. Arlievsky NZ, Pollack H, Rigaud M, Kaul A, Krasinski K, Borkowsky W. Shortened survival in infants vertically infected with human immunodeficiency virus with elevated p24 antigenemia. *J Pediatr*. 1995;127: 538-543
12. Bamji M, Thea DM, Weedon J, et al. Prospective study of human immunodeficiency virus 1 related disease among 512 infants born to HIV-1 infected women in New York City. *Pediatr Infect Dis J*. 1996;15: 891-898
13. Kourtis AP, Ibegbu C, Nahmias AJ, et al. Early progression of disease in HIV-1 infected infants with thymus dysfunction. *N Engl J Med*. 1996; 335:1431-1436
14. Moodley D, Coovadia HM, Bobat RA. Beta²-microglobulin and CD4/8 ratio as HIV-1 markers of maternal transmissibility, neonatal infection, and disease progression. *Ann Trop Pediatr*. 1996;16:155-160
15. Just JJ, Abrams E, Louie LG, et al. Influence of host genotype on progression to acquired immunodeficiency syndrome among children infected with human immunodeficiency virus type 1. *J Pediatr*. 1995;127: 544-549
16. Forsyth BWC, Andiman WA, O'Connor T. Development of a prognosis-based clinical staging system for infants infected with human immunodeficiency virus. *J Pediatr*. 1996;129:648-655
17. Turner BJ, Eppes S, McKee LJ, et al. A population-based comparison of the clinical course of children and adults with AIDS. *AIDS*. 1995;9:65-72
18. Blanche S, Newell ML, Mayaux MJ, et al. Morbidity and mortality in European children vertically infected by HIV-1. The French Pediatric HIV Infection Study Group and European Collaborative Study. *J Acquir Immune Defic Syndr Hum Retrovirol*. 1997;14:442-450
19. McIntosh K, Pitt J, Brambilla D, et al. Blood culture in the first 6 months of life for the diagnosis of vertically transmitted human immunodeficiency virus infection. *J Infect Dis*. 1994;170:996-1000
20. Centers for Disease Control and Prevention: 1994 revised classification for human immunodeficiency virus infection in children less than 13 years of age. *Morb Mortal Wkly Rep CDC Surveill Summ*. 1994;43:1
21. Rodriguez EM, Mofenson LM, Chang BH, et al. Association of maternal drug use during pregnancy with maternal HIV-1 culture positivity and perinatal HIV-1 transmission. *AIDS*. 1996;10:273-282
22. Conner EM, Sperling RS, Gelber R, et al. Reduction of maternal-infant transmission of human immunodeficiency virus type 1 with zidovudine treatment. *N Engl J Med*. 1994;331:1173-1180
23. Shearer WT, Quinn TC, LaRussa P, et al. Viral load and disease progression in infants infected with human immunodeficiency virus type 1. *N Engl J Med*. 1997;336:1337-1342
24. Boom R, Sol CJ, Salimans MM, Jansen CL, Wertheim-van Dillen PM, van der Noordaa J. Rapid and simple method for purification of nucleic acids. *J Clin Microbiol*. 1990;28:495-503
25. Landay AL, Brambilla D, Pitt J, et al. Interlaboratory variability of CD8+ subset measurements by flow cytometry and its application to multicenter clinical trials. *Clin Diagn Lab Immunol*. 1995;2:462-468
26. Rich KC, Brambilla D, Pitt J, Moye J, et al. Lymphocyte phenotyping in infants: Maturation of lymphocyte subpopulations and the effects of HIV-1 infection. *Clin Immunol Immunopathol*. 1997;85:273-281
27. Hollinger FB, Bremer JW, Myers LE, Gold JWM, McQuay L, NIH/NIAID/DAIDS/ACTG Virology Laboratories. Standardization of sensitive human immunodeficiency virus coculture procedures and establishment of a multicenter quality assurance program for the AIDS Clinical Trials Group. *J Clin Microbiol*. 1992;30:1787-1794
28. Semba RD, Graham NMH, Caiaffa WT, et al. Increased mortality associated with vitamin A deficiency during human immunodeficiency virus type 1 infection. *Arch Int Med*. 1993;153:2149-2154
29. Hosmer DW, Lemeshow S. *Applied Logistic Regression*. New York, NY: John Wiley & Sons, Inc; 1989
30. Semba RD, Miotti PG, Chipangwi JD, et al. Maternal vitamin A deficiency and mother-to-child transmission of HIV-1. *Lancet*. 1994;343: 1593-1597
31. Semba RD, Miotti PG, Chipangwi JD et al. Infant mortality and maternal vitamin A deficiency during human immunodeficiency virus infection. *J Infect Dis*. 21:966-971
32. Rich KC, Chang BH, Mofenson L, Fowler MG, Cooper E, Pitt J, Hillyer GV, Mendez H. Elevated CD8+DR+ lymphocytes in HIV-1 exposed infants with early positive HIV-1 cultures: a possible early marker of intrauterine transmission. *J Acquir Immune Defic Syndr Hum Retrovirol*. 1997;15:204-210
33. Phair JP, Margolick JB, Jacobson LP, et al. Detection of infection with human immunodeficiency virus type 1 before seroconversion: correlation with clinical symptoms and outcome. *J Infect Dis*. 1997;175:959-962

Maternal and Infant Factors Predicting Disease Progression in Human Immunodeficiency Virus Type 1-Infected Infants

Kenneth C. Rich, Mary G. Fowler, Lynne M. Mofenson, Rasha Abboud, Jane Pitt, Clemente Diaz, I. Celine Hanson, Ellen Cooper, Hermann Mendez and for the Women and Infants Transmission Study Group

Pediatrics 2000;105:e8

DOI: 10.1542/peds.105.1.e8

Updated Information & Services

including high resolution figures, can be found at:
<http://pediatrics.aappublications.org/content/105/1/e8>

References

This article cites 30 articles, 4 of which you can access for free at:
<http://pediatrics.aappublications.org/content/105/1/e8#BIBL>

Permissions & Licensing

Information about reproducing this article in parts (figures, tables) or in its entirety can be found online at:
<http://www.aappublications.org/site/misc/Permissions.xhtml>

Reprints

Information about ordering reprints can be found online:
<http://www.aappublications.org/site/misc/reprints.xhtml>

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN®



PEDIATRICS®

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

Maternal and Infant Factors Predicting Disease Progression in Human Immunodeficiency Virus Type 1-Infected Infants

Kenneth C. Rich, Mary G. Fowler, Lynne M. Mofenson, Rasha Abboud, Jane Pitt, Clemente Diaz, I. Celine Hanson, Ellen Cooper, Hermann Mendez and for the Women and Infants Transmission Study Group

Pediatrics 2000;105:e8

DOI: 10.1542/peds.105.1.e8

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://pediatrics.aappublications.org/content/105/1/e8>

Pediatrics is the official journal of the American Academy of Pediatrics. A monthly publication, it has been published continuously since 1948. Pediatrics is owned, published, and trademarked by the American Academy of Pediatrics, 345 Park Avenue, Itasca, Illinois, 60143. Copyright © 2000 by the American Academy of Pediatrics. All rights reserved. Print ISSN: 1073-0397.

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN®

