Acellular Pertussis Vaccine: Recommendations for Use as the Initial Series in Infants and Children

ABSTRACT. In 1991 and 1992, the US Food and Drug Administration approved two acellular pertussis vaccines combined with diphtheria and tetanus toxoids for use as the fourth and fifth doses after the initial three-dose primary series with the standard whole-cell pertussis vaccine administered at 2, 4, and 6 months of age. Recently completed trials of acellular pertussis vaccines conducted in Europe have documented the efficacy of these vaccines when administered as a primary series in infancy. Based on these studies, two acellular pertussis vaccines, Tripedia (Connaught Laboratories, Swiftwater, PA) and ACEL-IMUNE (Wyeth-Lederle Laboratories, Pearl River, NY), were licensed by the Food and Drug Administration for the initial three-dose series. Additional acellular pertussis vaccines are likely to be licensed for use in infants in the future. The recommendations in this statement supplement previous American Academy of Pediatrics guidelines for the use of acellular pertussis vaccines.

BACKGROUND

Although whole-cell pertussis (DTwP) vaccines are effective in prevention of pertussis disease, concerns about local and systemic reactions have stimulated efforts to produce less reactogenic vaccines.

DTwP vaccines are prepared from inactivated cells of Bordetella pertussis and contain multiple defined and undefined antigens. In contrast, acellular pertussis vaccines (Table 1) contain one or more of five purified antigens derived from B pertussis organisms. All acellular pertussis vaccines contain inactivated pertussis toxoid (PT) in different concentrations (Table 2) but vary in the inclusion and concentration of four other antigens. These four pertussis antigens are filamentous hemagglutinin (FHA), pertactin (PRN), a nonfimbrial protein formerly called 69-kd outer membrane protein, and two fimbrial proteins (fimbria [FIM] types 2 and 3), formerly called agglutinogens (Tables 2 and 3).

RECENT TRIALS OF PERTUSSIS VACCINES

In National Institutes of Health (NIH)–sponsored phase 1 and 2 trials conducted in 1991 and 1992, safety and immunogenicity of 13 acellular pertussis vaccines were evaluated. In these studies, the adverse events after administration of acellular pertussis vaccines were significantly less frequent and less severe than those associated with the DTwP vaccine group, and the acellular pertussis vaccines were immunogenic. These and other data formed the basis for selection of vaccines for inclusion in the two NIH-sponsored efficacy trials conducted in Stockholm, Sweden, and Italy. Efficacy trials also were conducted by the NIH in Göteborg, Sweden, and by various pharmaceutical manufacturers in Munich, Erlangen, and Mainz, Germany, and Senegal (Table 3).

These seven trials evaluating the efficacy of three or four doses of different acellular pertussis vaccines in infancy were completed or reported in 1995–7 (Table 3). The NIH-sponsored trials in Stockholm and Italy are the only two of the seven trials that compared the safety and efficacy of more than one acellular pertussis vaccine per trial. These two trials compared acellular pertussis vaccines with a placebo (diphtheria-tetanus [DT]) and with a US DTwP vaccine given at the US infant immunization schedule of 2, 4, and 6 months of age. In the NIH-sponsored trial in Göteborg, a monovalent (PT alone) acellular pertussis vaccine was administered subcutaneously using a schedule of 3, 5, and 12 months. The fourth dose at 12 to 18 months of age was not given in these three trials. All three of these studies (Stockholm, Italy, and Göteborg) included a group randomized to receive DT, enabling calculation of an absolute vaccine efficacy. Another study of more than 80,000 infants is underway in Stockholm to evaluate three different acellular pertussis vaccines in addition to a whole-cell vaccine.

The trials not sponsored by the NIH used different study designs and different immunization schedules. The study conducted in Munich, Germany, forms the basis for approval of Tripedia (Connaught Laboratories, Swiftwater, PA) for use in infants (see “Efficacy”). The trial conducted in Erlangen formed the basis for approval of the four-component acellular pertussis vaccine (PT, FHA, PRN, and FIM) (ACEL-IMUNE; Wyeth-Lederle Laboratories, Pearl River, NY). In the study in Mainz, a three-component investigational acellular pertussis vaccine containing PT, FHA, and PRN was administered at 3, 4, and 5 months of age; efficacy was determined by attack rates of pertussis in household contacts. In the study in Senegal, a two-component acellular pertussis vaccine containing PT and FHA was administered at 2, 4, and 6 months of age.

In aggregate, the efficacy trials demonstrated that acellular pertussis vaccines, when administered beginning at 2 or 3 months of age, caused lower rates of fever and local adverse reactions, including ery-
The bivalent vaccine had a protective efficacy of 69% (95% confidence interval [CI], 47 to 82) for all cases of culture-confirmed pertussis with any cough for 1 day or longer, and in the monovalent group, protective efficacy was 54% (95% CI, 26 to 72) in preventing culture-confirmed pertussis using a non-WHO case definition. Because a DTwP group was not included, these results were compared with historical data in which the DTwP vaccine was believed to be 80% to 85% effective. Therefore, the acellular pertussis vaccines were not approved for routine use at that time.

In the recently completed trial in Munich, 16,780 children enrolled in a prospective study received DTaP, DTwP, DT, or no vaccine at 2, 4, and 6 months of age (Table 3). A case of pertussis was defined as an illness with cough of 21 days’ duration or longer and confirmed by positive culture for *B. pertussis* or exposure to a household contact with a culture-proven case. The clinical efficacy of three doses of the bivalent (PT and FHA) acellular pertussis vaccine compared with DT was 80% (95% CI, 59 to 90), and the efficacy of three doses of DTwP vaccine manufactured in Germany was 87% (95% CI, 33 to 97). Because of differences in enrollment of infants in the study, the efficacy estimates for DTaP and DTwP are not directly comparable. Additional information about the immunogenicity and safety of a fifth dose after four doses of the acellular vaccine is being collected and is expected to be available before infants who have completed the initial four-dose acellular pertussis vaccine combined with diphtheria and tetanus toxoids (DTaP) series are 4 to 6 years of age and require a fifth dose. In a trial in Erlangen, Germany, the acellular pertussis vaccine produced by Wyeth-Lederle (ACEL-IMUNE) was 81% efficacious in infants who had received the vaccine at 2, 4, and 15 to 18 months of age; and the whole cell vaccine produced in the United States by the same company was 91% efficacious. Infants whose parents refused pertussis vaccines received DT and other infants were randomized to receive DTaP or DTwP.

### OTHER VACCINES

In the recently completed NIH-sponsored trial in Stockholm, in which the WHO definition was applied, infants were immunized with three doses of acellular pertussis vaccine or a standard US-prepared DTwP vaccine beginning at 2 months of age. The efficacy was 85% for the five-component acellular pertussis vaccine (PT, FHA, PRN, and FIM 2 and 3), 59% for a two-component acellular pertussis vaccine (PT and FHA), and 48% for the DTwP vaccine.

### VACCINE PRODUCTS

As noted, two acellular pertussis vaccines have been licensed for use in infancy. Information on the safety, immunogenicity, and efficacy of the acellular pertussis vaccine produced by Connaught Laboratories (Tripedia) in infants has been derived from its use in an efficacy trial in 1986 in Sweden and the recently completed prospective, case-control trial in Munich. Additional data on immunogenicity and safety also are available from studies in the United States.

In the 1986 NIH-sponsored trial in Sweden, two groups receiving acellular pertussis vaccines, one containing PT and FHA and the other containing only PT, were compared with a control group that received DT, all given at approximately 6 and 8 months of age, which differs from the US schedule.
In the Italian trial, efficacy was 84% for both of the three-component acellular pertussis vaccines (PT, FHA, and PRN) and 36% for the whole-cell vaccine. The efficacy of the whole-cell vaccine was 85% when evaluated shortly after completion of the three-dose series in children 6 to 9 months of age.

Table 3. International Efficacy Trials in Infants of Acellular Pertussis Vaccines Combined With Diphtheria and Tetanus Toxoids

<table>
<thead>
<tr>
<th>Site of trial</th>
<th>Vaccine manufacturer</th>
<th>Vaccine composition†</th>
<th>Schedule studied (mos)</th>
<th>DTaP*</th>
<th>DTwP†</th>
</tr>
</thead>
<tbody>
<tr>
<td>Munich, Germany [1]</td>
<td>Connaught, Inc.</td>
<td>PT, FHA, PRN, FIM</td>
<td>2, 4, 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stockholm, Sweden [3]</td>
<td>Connaught, Ltd.</td>
<td>PT, FHA, PRN, FIM</td>
<td>2, 4, 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Italy [3]</td>
<td>Chiron/Biocine</td>
<td>PT, FHA, PRN, FIM</td>
<td>2, 4, 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Italy [3]</td>
<td>SmithKline Beecham</td>
<td>PT, FHA, PRN, FIM</td>
<td>2, 4, 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mainz, Germany [4]</td>
<td>SmithKline Beecham</td>
<td>PT, FHA, PRN, FIM</td>
<td>3, 4, 5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Senegal, West Africa [5]</td>
<td>Pasteur-Mérieux</td>
<td>PT, FHA, PRN, FIM</td>
<td>2, 4, 6</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

† PT = pertussis toxoid; FHA = filamentous hemagglutinin; PRN = pertactin; FIM = fimbria; DTaP = acellular pertussis vaccine; DTwP = whole-cell vaccine

* Efficacy data included cases of pertussis defined as an illness with cough of ≥21 days duration and confirmation by positive culture for B. pertussis or household contact with a culture proven case. Efficacy results for DTaP preparations should only be compared in studies using direct side-by-side design as occurred in the trials in Stockholm and Italy.

†† Not approved at the time of this publication

†‡ Propective case-control study with passive surveillance [ref 7], trial not designed to permit comparison of efficacy data between DTaP and DTwP groups

‡§ Prospective, randomized, double-blind placebo-controlled cohort [ref 3-5]

§§ Prospective, blinded, household case contact study [surveillance in catchment area of an earlier DTaP immunogenicity study] [ref 6]

‖ Prospective, randomized, double-blind cohort study with a parallel DT non-study group [ref 7]
decline in efficacy during the second year. The lower efficacy of whole-cell vaccine in both trials was not anticipated and is an underestimate of the effectiveness of the DTwP vaccine in the United States, where four rather than three doses are given by 15 to 18 months of age.†

Surveillance statistics in the United States do not permit calculation of vaccine-specific attack rates, but the efficacy of whole-cell vaccines used in the United States has been assessed in household contact studies. Depending on the case definition used, the efficacy of whole-cell pertussis vaccine was 95% to 98% for children with positive results on cultures and 77% to 95% for children with positive results on cultures or serologic testing, depending on disease severity. This study demonstrated that DTwP was highly effective in preventing pertussis in preschool children exposed to infection within their households, supporting the observation that DTwP vaccines used in the United States successfully have controlled disease.

Results of manufacturer-supported studies and the NIH-supported study in Göteborg are more difficult to compare than the other two NIH-supported studies involving multiple acellular pertussis vaccines, because of differences in immunization schedules, study designs, and comparison vaccines. In addition, each study evaluated only one acellular product. In the Göteborg trial, the monovalent PT vaccine had an efficacy of 71%. In the trial in Mainz, the efficacy of trivalent acellular pertussis vaccine was 89% compared with 98% for the DTwP vaccine manufactured in Germany, as calculated from attack rates of pertussis in household contacts classified by pertussis immunization status. In the trial in Senegal, efficacy was 85% for a bivalent (PT and FHA) vaccine and 96% for a whole-cell vaccine manufactured in France. These efficacy rates may change as additional data from longer follow-up periods are analyzed.

**IMMUNOGENICITY**

When used for the primary series in infants, the immunogenicity of the various antigens constituting the DTaP vaccines (Table 3) generally was similar or higher than that of the same antigens of the DTwP vaccines when concentrations of serum antibody to PT, FHA, PRN, and FIM were measured by enzyme immunoassay. However, in none of the trials could antibody responses be correlated with protection against disease, indicating that currently measured antibody responses to the various vaccine antigens cannot be used to predict efficacy. A correlate of protection is difficult to determine when the mechanism of immunity is complex and has not been well defined.

**ADVERSE REACTIONS**

After primary immunization with the acellular pertussis vaccines, rates of local reactions (erythema, induration, and tenderness at the injection site), drowsiness, irritability, anorexia, and fever have been reported to occur at significantly lower rates than reactions occurring in age-matched children after immunization with DTwP vaccines. Each of these minor side effects occurred significantly less in US infants who received Tripedia than in infants who received DTwP vaccines after the first, second, and third immunizations at 2, 4, and 6 months of age (Table 4). Similar low rates of adverse reactions have been reported following ACEL-IMUNE in studies conducted in the United States and Europe. Although differences were observed in reactions in a study that compared two whole-cell and 13 acellular pertussis vaccine candidates, none of the acellular pertussis vaccines were found to be consistently the most or least reactogenic, and no association was found between the number of vaccine components and reactogenicity.

Certain serious adverse events, such as HHEs, persistent, inconsolable crying for 3 or more hours, temperature of 40°C and higher, and seizures, occur less frequently after acellular pertussis vaccine (Table 5). In the Munich trial, data on these adverse events after administration of DTwP were not collected in a manner that permits comparison. Data collected in the Stockholm, Erlangen, and Italian trials permit comparison between DTaP and DTwP groups with regard to these side effects. In the Italian trial, HHEs and persistent crying for 3 or more hours were significantly (P < .01 and .001, respectively) less frequent in the acellular pertussis groups than in the group that received DTwP. In the trial in Stockholm, protracted crying for 3 or more hours occurred in recipients of the two DTaP vaccines and the DT vaccine at a significantly (P < .001) lower rate than in recipients of DTwP vaccines. Surveillance after licensure in the United States has shown that rates of

<table>
<thead>
<tr>
<th>TABLE 4. Minor Side Effects Reported Within 72 Hours of Immunization in 672 US Infants Receiving Tripedia DTaP or Connaught Whole-cell Pertussis Vaccine DTwP at 2, 4, and 6 Months of Age*</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Event</td>
<td>Dose 1†</td>
<td>Dose 2†</td>
<td>Dose 3‡</td>
</tr>
<tr>
<td></td>
<td>DTaP, % (n = 505)</td>
<td>DTwP, % (n = 499)</td>
<td>DTaP, % (n = 490)</td>
</tr>
<tr>
<td>Erythema (&gt;1 inches)</td>
<td>8</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Induration (&gt;1 inches)</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Pain or tenderness</td>
<td>12</td>
<td>18</td>
<td>7</td>
</tr>
<tr>
<td>Drowsiness</td>
<td>39</td>
<td>18</td>
<td>16</td>
</tr>
<tr>
<td>Irritability</td>
<td>35</td>
<td>30</td>
<td>27</td>
</tr>
<tr>
<td>Anorexia</td>
<td>6</td>
<td>5</td>
<td>6</td>
</tr>
<tr>
<td>Temperature &gt;101°F and &lt;104°F</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
</tr>
</tbody>
</table>

* Vaccines supplied by Connaught, Inc (Swiftwater, PA) and Connaught Ltd (Canada). Data are from package inserts.
† DTaP compared with DTwP, all significant, P < .01. n indicates number of infants in each category.
seizures and hospitalization are reduced by 60% to 70% with the use of DTaP for the fourth and fifth doses of the diphtheria-tetanus-pertussis (DTP) immunization series.16

None of the efficacy trials enrolled adequate numbers of children to evaluate the role of acellular pertussis vaccines in the category of the rare, serious adverse events, such as encephalopathy occurring within 7 days, or immediate anaphylaxis. Only extensive evaluation after licensure can determine whether serious adverse events that occur at a rate of 1 in 100,000 immunizations or less are reduced with acellular pertussis vaccines. Because many studies have failed to show an association between these rare events and immunization with DTwP vaccines,17 it is unlikely that acellular pertussis vaccines will affect the rate at which permanent neurologic disease develops in children after immunization. The reduced number of reactions, especially febrile responses, after immunization with various DTaP preparations should make these vaccines desirable for use in infants and children.

The Vaccine Adverse Event Reporting System is designed to accept reports of all serious adverse events that occur after receipt of any immunization including DTaP. Additional information about the program or questions about reporting requirements or completion of the report form or requests for reporting forms can be directed to (800) 822–7967.

**RECOMMENDATIONS**

The following recommendations pertain to the use of acellular pertussis vaccines in children. Other aspects of pertussis are addressed in the current edition of the Red Book13 and in previous American Academy of Pediatrics Guidelines.12,18,19

1. All infants routinely should be immunized with five doses of diphtheria-, tetanus-, and pertussis-containing vaccine beginning at 6 to 8 weeks of age or as soon as possible thereafter, unless contraindicated. If the fourth dose was administered after the fourth birthday because of delays in completing the immunization schedule, the fifth dose can be omitted. In the United States, DTaP is preferred for all doses in the immunization schedule. During the transition period from the use of DTP to DTaP, DTP is an acceptable alternative for any of the five doses. For the first four doses, DTP combined with *H influenzae* type b conjugate vaccine (DTP-Hib) is an acceptable alternative to DTaP and Hib vaccines administered at separate sites.

2. In children who have begun their primary immunization schedule with DTwP, an approved DTaP vaccine can be used to complete the pertussis immunization schedule.

3. For children who have had adverse reactions to DTwP resulting in a precaution for administration of the pertussis immunization (ie, HHE within 48 hours, inconsolable crying for ≥3 hours within 48 hours, temperature >40.5°C [104.9°F] within 48 hours, or febrile seizure within 72 hours), DTaP is recommended if the antipertussis immunization schedule is to be completed. The risks and benefits of giving additional doses need to be evaluated on an individual basis.

4. Children who have a true contraindication to pertussis immunization (ie, encephalopathy not caused by another identifiable cause occurring within 7 days, or an immediate anaphylactic reaction after DTwP or DTaP immunization) should receive no further doses of DTwP or DTaP. In children with encephalopathy, DT should be substituted for each of the remaining DTwP or DTaP doses. In children with an immediate anaphylactic reaction, further immunization with any of the three antigens in DTaP or DTP should be deferred because of the uncertainty as to which component of the vaccine might be responsible. Persons who experience anaphylactic reactions may be referred

<table>
<thead>
<tr>
<th>Study Site and Vaccine</th>
<th>HHE† Persistent Crying &gt;3 h</th>
<th>Temperature &gt;40°C</th>
<th>Seizures</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No. Incidence/100,000 Doses</td>
<td>No. Incidence/100,000 Doses</td>
<td>No. Incidence/100,000 Doses</td>
</tr>
<tr>
<td>United States</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DTaP (Tripedia)*</td>
<td>1</td>
<td>14</td>
<td>4</td>
</tr>
<tr>
<td>Erlangen</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DTaP (ACEL-IMUNE)</td>
<td>0</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>DTwP</td>
<td>1</td>
<td>8</td>
<td>69</td>
</tr>
<tr>
<td>Italy†</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DTaP (CB)</td>
<td>1</td>
<td>7</td>
<td>9</td>
</tr>
<tr>
<td>DTaP (SKB)</td>
<td>0</td>
<td>0</td>
<td>6</td>
</tr>
<tr>
<td>DTwP</td>
<td>9</td>
<td>67</td>
<td>54</td>
</tr>
<tr>
<td>Stockholm‡</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DTaP (CN)</td>
<td>1</td>
<td>13</td>
<td>4</td>
</tr>
<tr>
<td>DTaP (SKB)</td>
<td>0</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>DTwP</td>
<td>5</td>
<td>81</td>
<td>23</td>
</tr>
</tbody>
</table>

* Data are from an open-label, noncontrolled clinical study of 2457 infants (7102 doses). DTaP indicates diphtheria and tetanus toxoids, acellular pertussis; CB, Chiron/Biocine, CN, Connaught, SKB, SmithKline Beecham; and DTwP, diphtheria and tetanus toxoids, whole-cell pertussis.
† HHE, indicates hypotonic-hyporesponsive episode, persistent, inconsolable crying for 3 or more hours, temperature higher than 40°C, seizures within 48 hours of immunization in children.
‡ HHE, indicates hypotonic-hyporesponsive episode, persistent, inconsolable crying for 3 or more hours, temperature higher than 40°C, and seizures within 48 hours of immunization in children.
5. Simultaneous administration of DTaP and other recommended vaccines is acceptable. Vaccines should not be mixed in the same syringe unless approved by the FDA. On September 27, 1996, the FDA approved reconstituting Tripedia with ActHIB vaccine and administering the combination as a single injection for the booster (fourth) dose of the DTP immunization series in those 15 months and older. Mixing these two vaccines in the same syringe for doses 1 through 3 or 5 is not yet FDA approved. Note: ActHIB (distributed by Connaught Laboratories) is identical to OmniHIB (distributed by SmithKline Beecham Pharmaceuticals).

FUTURE DIRECTIONS

Other acellular pertussis vaccines may be approved soon by the FDA for use in children for the primary series beginning at 2 months of age. Evaluation of these vaccines necessitates consideration that each product will vary in the number of pertussis antigens, how antigens are prepared and combined, the quantity of antigens in the final product (Table 3), and the study designs used for evaluation. These differences may make comparisons of efficacy, immunogenicity, and adverse events among the clinical trials difficult.

Development of recommendations about the interchangeability of these products for primary or booster immunization will be complicated by the absence of serologic correlates of immunity. When feasible, the same DTaP vaccine should be used for the first three doses of the pertussis immunization series. No data exist on the safety, immunogenicity, or efficacy of different DTaP vaccines when administered interchangeably in the primary immunization series of a child. However, in those circumstances in which the DTaP products(s) received previously is not known, or the previously administered product is not available, any of the licensed DTaP vaccines may be used to complete the primary immunization schedule. These recommendations may change as data become available regarding the response to different DTaP vaccines administered interchangeably in a primary series.

Additional surveillance will be needed to determine the frequency of rare adverse reactions and to possibly generate product-specific estimates of vaccine efficacy. Such data are necessary to guide the process of selection when several acellular pertussis vaccines become available and for development of more effective vaccines in the future. In addition, vaccines that combine a DTaP vaccine with other antigens are being evaluated. Additional evaluation of the use of DTaP vaccines in children with precautions or contraindications to DTP immunization, including evolving neurologic disorders and adverse events associated with prior pertussis immunization, should be considered.

Additional studies of the safety of acellular pertussis vaccines in adolescents and adults also are in progress. The use of these vaccines in persons 7 years and older to reduce further the reservoir and circulation of B pertussis is not recommended currently but may be effective in the future in controlling pertussis, including reduction in disease in infants too young to benefit from immunization.

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