Effectiveness and Cost of Bidirectional Text Messaging for Adolescent Vaccines and Well Care

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**abstract**

**OBJECTIVE:** To evaluate the effectiveness and cost of bidirectional short messaging service in increasing rates of vaccination and well child care (WCC) among adolescents.

**METHODS:** We included all adolescents needing a recommended adolescent vaccine (\(n = 4587\)) whose parents had a cell-phone number in 5 private and 2 safety-net pediatric practices. Adolescents were randomized to intervention (\(n = 2228\)) or control (\(n = 2359\)). Parents in the intervention group received up to 3 personalized short messaging services with response options 1 (clinic will call to schedule), 2 (parent will call clinic), or STOP (no further short messaging service). Primary outcomes included completion of all needed services, WCC only, all needed vaccinations, any vaccination, and missed opportunity for vaccination.

**RESULTS:** Intervention patients were more likely to complete all needed services (risk ratio [RR] 1.31, 95% confidence interval [CI] 1.12–1.53), all needed vaccinations (RR 1.29, 95% CI 1.12–1.50), and any vaccination (RR 1.36, 95% CI 1.20–1.54). Seventy-five percent of control patients had a missed opportunity versus 69% of intervention (\(P = .002\)). There was not a significant difference for WCC visits. Responding that the clinic should call to schedule (“1”) was associated with the highest effect size for completion of all needed services (RR 1.89, 95% CI 1.41–2.54). Net cost ranged from $855 to $3394 per practice.

**CONCLUSIONS:** Bidirectional short messaging service to parents was effective at improving rates for all adolescent vaccinations and for all needed services, especially among parents who responded they desired a call from the practice.

**WHAT’S KNOWN ON THIS SUBJECT:** Adolescent vaccination rates lag behind other childhood vaccines. Text messaging to improve uptake of adolescent vaccines has been shown to be effective in academic centers but has not been studied in other settings.

**WHAT THIS STUDY ADDS:** This study, done in 5 private and 2 safety-net practices, used a bidirectional text message as a behavioral prompt and showed text messaging was effective at increasing uptake of all adolescent vaccines. Costs were similar to other reminder/recall methods.
Childhood vaccination is commonly cited as one of the greatest public health achievements in history. However, for several vaccinations, and in certain populations, vaccination rates remain suboptimal. Among the interventions for increasing vaccination rates that have been studied, “reminder/recall” is considered one of the more effective. Reminders, meaning prompting a parent that a child is due for an upcoming vaccine, and recalls, meaning alerting a parent that a child is overdue, have traditionally been performed using mailed messages or telephone calls.

Reminder/recall may be particularly useful for adolescents. Adolescents tend to visit medical providers less frequently than younger children, and are less likely to receive preventive visits. Also, several of the recommendations for adolescent vaccinations are less than a decade old, so parents may not be aware of these newer recommendations. One of the adolescent vaccines requires a 3-dose series, complicating delivery. Current recommendations for adolescent vaccination include a tetanus-diphtheria-acellular pertussis (Tdap) vaccine at age 11 to 12, a meningococcal conjugate vaccine (MCV) at age 11 to 12 with a booster at age 16, the 3-dose human papillomavirus (HPV) vaccine series, to be started at age 11 to 12, and a yearly influenza vaccine.

Adolescent vaccination rates lag well behind other childhood rates, particularly for HPV vaccine. Although traditional reminder/recall, using mail and telephone calls, has been shown to be effective in adolescent patients, it remains underused. A national survey showed that only 16% of pediatricians routinely use reminder/recall, primarily because traditional methods of reminder/recall are time-consuming or expensive.

Short messaging service, better known as text messaging, has potential advantages over traditional reminder/recall methodologies. However, the use of text messaging for reminder/recall remains understudied, particularly in private practice settings. Also, previous studies of reminder/recall, including text messaging, have primarily relied on unidirectional prompts, meaning that the recipient cannot respond. There is some evidence that encouraging a recipient to make a plan may serve as a behavioral prompt that may be more effective at accomplishing a desired health outcome than a simple reminder. Therefore, the objectives of this study were (1) to evaluate the effectiveness and cost of bidirectional text messaging in increasing rates for all adolescent vaccines and well child care (WCC), and (2) to compare results by type of response to the text message.

METHODS

Study Setting

The study protocol was approved by the Colorado Multiple Institutional Review Board as an expedited protocol, not requiring consent. We conducted the study in 5 urban/suburban private pediatric practices and 2 safety-net practices in Colorado from September 2012 to August 2013. Practices in the greater Denver area were contacted by e-mail and/or telephone for interest in participation followed by meetings with interested practices to confirm participation. Practices were chosen purposefully based on their patient populations to represent a diverse cross section. Race/ethnicity was not routinely collected at the 5 private practices. In general, they are typical of the racial/ethnic breakdown of Colorado and accept between 10% and 35% Medicaid. The populations of the 2 safety-net practices, which were part of a larger system, were approximately 50% Hispanic, 30% non-Hispanic white, 10% African American, and 10% other, with 82% public insurance, 15% private insurance, and 3% uninsured.

All study practices participate in the Colorado Immunization Information System (CIIS), which includes all immunizations administered at participating sites, which in Colorado includes most primary care practices, school-based health centers, local public health agencies, and some pharmacies. Data in CIIS undergo routine quality checks and standard data validation checks for all new interfaces.

Intervention Development

The intervention was developed collaboratively with the 7 practices in the study. Adolescent, parent, and provider input was solicited through a series of focus groups and key informant interviews. Based on the feedback from this process, a series of collaborative meetings were held with the intervention practices, during which the logistics of the intervention were developed.

Study Design and Population

This was a randomized controlled trial with randomization at the patient level within each practice using random number generation (SAS 9.3; SAS Institute, Cary, NC). Providers were blinded to group allocation. The study population was a sample of adolescents aged 11 to 17 years seen at their practice at least once in the preceding 2 years. Adolescents were eligible for the study if they needed ≥1 of the targeted adolescent vaccines (Tdap, MCV4, and HPV) or WCC, defined as no WCC in the previous year. In the case in which an adolescent had ≥1 siblings who also met inclusion criteria, only 1 adolescent from the household was randomly chosen to be in the study. Parents and adolescents were blinded to which child was chosen for study inclusion; therefore, eligible nonstudy siblings received the same intervention as the study adolescent without analysis of their data.
Data Sources

Administrative data from the practices’ electronic billing systems, including historical data, were merged with CIIS data, and these combined data were used to determine which adolescents were eligible. Parent cell-phone numbers were determined from administrative data by scanning electronic telephone fields for cell-phone numbers (SearchBug, Encinitas, CA).

Short Messaging Service Intervention

Parents of adolescents in the intervention group were sent a text message with the following script: “We show [first name] is due for a [vaccine OR checkup or vaccine and checkup]. REPLY 1 for us to call you to schedule, 2 if you will call us, or STOP to end messages [practice name and phone number].” Replies of STOP were removed from further messages. All other replies received the following automated response: “This is an automated response. For emergencies, call 911. If you replied 1, we’ll call you soon. For questions or to schedule, please call the office.” Parents who responded in any way were removed from further text messages. The study team tracked all responses. Lists of parents who responded 1 and 2 were provided to the practices weekly. Practices agreed to call all parents who responded 1 to schedule appointments. Appointment schedules were pulled from electronic practice schedules and tracked weekly. Any patient not already removed from future texts who had an upcoming appointment was removed from further text messages. Among those who did not respond, up to 3 more text messages were sent every 2 weeks, so that the last text message was sent approximately 6 weeks after the first. Text messages were sent using MessageMedia (San Francisco, CA).

Parents of patients in the usual care group received no reminders as part of the study, and none of the practices used any reminders during the study.

Analytic Methods

Primary outcomes were completion of (1) all needed immunizations and/or WCC, (2) WCC, (3) all immunizations, (4) any immunization, and (5) missed opportunities for immunization, defined as any visit to the practice during the study period in which a vaccination was needed but not given. Secondary outcomes included results by individual vaccine and by parental response to the text message. All outcomes were assessed 6 months after the last text message.

The primary analysis was intention-to-treat (ITT) in which all individuals were analyzed within the group to which they were randomized. Per-protocol analyses were limited to those intervention parents who received at least 1 of the text messages (delivery status provided by MessageMedia). Like the intervention group, the usual care group included only parents who had access to a cell-phone. Neither randomization nor analysis was stratified by gender. Comparisons between the ITT and usual care groups and baseline demographics were conducted to determine balance between the groups. Generalized linear random effects models were used to determine differences between the ITT and per protocol (PP) groups for primary and secondary outcomes. All models were adjusted as necessary for age, insurance, and potential random effects of clinic. Relative risks were generated by using a log link with a binomial distribution in the regression model.

The cost analysis was conducted for the 5 private practices that participated in the study, from the practice’s perspective. The safety-net clinics were not included in the cost analysis because their scheduling processes were markedly different from the private practices and therefore not comparable. The 4 cost activity areas related to the text messaging intervention were development of the text message, staff training, data management, and implementation. Practices’ ability to link data between clinical, scheduling, billing, and text messaging systems varied. Therefore, intervention costs were estimated by using 3 different hypothetical scenarios for each of the 5 clinics based on practice costs related to various data linkage capabilities: scenario 1: no electronic system linkage between clinical, scheduling, billing or text messaging and no cell-phone field in electronic data; scenario 2: system linkages between clinical, scheduling and billing but not text messaging and with a cell-phone field; scenario 3: each of the 4 systems linked and cell-phone field. Cost data were derived by identifying staff involved with text message reminder/recall and estimating their time related to these activities during the start-up and implementation period (2 months). Therefore, the length of time for the cost analysis was a 3-month period including development, training, and implementation. The study team performed timed observations at each clinic of staff performing duties, such as answering questions regarding the text messages and scheduling appointments. Job titles of the relevant staff were linked to the job titles and relevant median wages from the Bureau of Labor Statistics for the State of Colorado 2012. Benefits were added and calculated as 30% of wages. Cost of vaccines was not included in the analysis. Summary costs per outcome were estimated for each of the 3 scenarios.

RESULTS

Figure 1 shows the consolidated standards of reporting trials diagram showing the selection of the study.
population, which included 2228 parents randomized to intervention and 2359 to control. Details of the study population are shown in Table 1. At baseline, there were no significant differences between intervention and control groups in terms of age or up-to-date status for any of the primary outcomes.

Eighty-four percent (1877/2228) of parents who were sent a text message received the message according to the carrier. Among those parents who were sent a text message, 30% (n = 662) responded by text message. The most frequent response was that the parent would like to receive a phone call from the clinic to schedule an appointment (1, 41%), followed by that the parent would call the clinic at a later time (2, 28%), followed by STOP, meaning end future messages (22%). Nine percent of parents responded in some other way (such as with a question). Most responses (65%) occurred with the first text message.

Primary outcomes for the ITT analysis are shown in Table 2. Intervention patients were more likely to complete all needed services, meaning all needed vaccinations and WCC (risk ratio [RR] 1.31, 95% confidence interval [CI] 1.12–1.53, number needed to treat [NNT] 35), complete all needed vaccinations (RR 1.29, P = .0006, NNT 32), and complete any vaccination (RR 1.35, P = .0001, NNT 19). Seventy-five percent of patients in the control group experienced a missed opportunity for vaccination compared with 69% in the intervention group (P = .002). Analyzed alone, there was not a significant difference between intervention and control groups for WCC.

Also shown in Table 2 are results by parental response to the text messages. A response requesting a call from the clinic (1) resulted in the highest effect size. Adolescents whose parents replied STOP were less likely than the control group to receive the needed services, and this finding was significant for all study outcomes. Those who did not respond, which was most of the intervention population, still generally had favorable outcomes compared with controls.

### TABLE 1 Baseline Characteristics of Study Population, Intervention Compared With Usual Care, With Proportion of Population Needing Adolescent Vaccinations or WCC

<table>
<thead>
<tr>
<th>Measure</th>
<th>Intervention, n = 2228</th>
<th>Usual Care, n = 2359a</th>
<th>p b</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender, % boys</td>
<td>52.4</td>
<td>54.6</td>
<td>.14</td>
</tr>
<tr>
<td>Age, average y</td>
<td>14.1</td>
<td>14.1</td>
<td>.65</td>
</tr>
<tr>
<td>Need WCC, %</td>
<td>25.3</td>
<td>23.7</td>
<td>.21</td>
</tr>
<tr>
<td>Tdap, %</td>
<td>21.6</td>
<td>20.9</td>
<td>.59</td>
</tr>
<tr>
<td>MCV4, %</td>
<td>43.2</td>
<td>42.0</td>
<td>.42</td>
</tr>
<tr>
<td>MCV4 vaccination, %</td>
<td>31.1</td>
<td>29.9</td>
<td>.36</td>
</tr>
<tr>
<td>MCV4 booster, %</td>
<td>12.1</td>
<td>12.1</td>
<td>.96</td>
</tr>
<tr>
<td>HPV series, %</td>
<td>94.4</td>
<td>94.4</td>
<td>.92</td>
</tr>
<tr>
<td>HPV dose 1, %</td>
<td>70.8</td>
<td>71.8</td>
<td>.38</td>
</tr>
<tr>
<td>HPV dose 2, %</td>
<td>16.0</td>
<td>15.8</td>
<td>.66</td>
</tr>
<tr>
<td>HPV dose 3, %</td>
<td>7.9</td>
<td>7.0</td>
<td>.29</td>
</tr>
<tr>
<td>Need HPV only, %</td>
<td>50.1</td>
<td>52.2</td>
<td>.18</td>
</tr>
</tbody>
</table>

a For WCC and vaccinations, the percentages represent the proportion of the population in need of the specified service; total numbers are different between intervention and usual care because of the exclusion of eligible siblings, with only 1 sibling randomly selected for participation in the study, with siblings reallocated to the same arm.

b Student’s t test (age) and χ².

c Includes patients needing either the initial MCV4 vaccine or the MCV4 booster at baseline.

d Includes patients needing the HPV dose 1, HPV dose 2, or HPV dose 3 at baseline.

e Includes only patients needing 1 of the HPV doses (ie, did not need WCC, Tdap, or MCV4).
TABLE 2: Results for Primary Outcomes, ITT Analysis, and Results by Parental Response to Text Message

<table>
<thead>
<tr>
<th>Outcome Description</th>
<th>Usual Care, % (n)</th>
<th>Clinic Call Parent, % (n)</th>
<th>RR (95% CI)</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Completed all needed vaccinations</td>
<td>13.2 (244/1845)</td>
<td>15.0 (349/2326)</td>
<td>1.18 (1.0–1.4)</td>
<td>.002</td>
</tr>
<tr>
<td>Completed any vaccination</td>
<td>14.5 (313/2166)</td>
<td>15.3 (359/2326)</td>
<td>1.08 (0.86–1.35)</td>
<td>.59</td>
</tr>
<tr>
<td>Completed WCC only</td>
<td>13.2 (231/1755)</td>
<td>15.0 (349/2326)</td>
<td>1.18 (1.0–1.4)</td>
<td>.002</td>
</tr>
<tr>
<td>Completed WCC with booster doses</td>
<td>12.0 (167/1383)</td>
<td>12.9 (161/1255)</td>
<td>1.06 (0.9–1.24)</td>
<td>.54</td>
</tr>
<tr>
<td>Completed all needed vaccinations</td>
<td>13.2 (244/1845)</td>
<td>15.0 (349/2326)</td>
<td>1.18 (1.0–1.4)</td>
<td>.002</td>
</tr>
<tr>
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<td>14.5 (313/2166)</td>
<td>15.3 (359/2326)</td>
<td>1.08 (0.86–1.35)</td>
<td>.59</td>
</tr>
<tr>
<td>Completed WCC only</td>
<td>13.2 (231/1755)</td>
<td>15.0 (349/2326)</td>
<td>1.18 (1.0–1.4)</td>
<td>.002</td>
</tr>
<tr>
<td>Completed WCC with booster doses</td>
<td>12.0 (167/1383)</td>
<td>12.9 (161/1255)</td>
<td>1.06 (0.9–1.24)</td>
<td>.54</td>
</tr>
</tbody>
</table>

Notes: The parent is defined as any visit by a child that did not result in a vaccination when 1 or more was due. A missed opportunity is defined as any visit by a child that did not result in a vaccination when 1 or more was due.

Discussion

Text messaging can be considered a low-cost, scalable means of increasing vaccination coverage. Although the small absolute difference between intervention and control groups (2%–3%) is not analyzed, this intervention showed a lack of effectiveness for increasing vaccination coverage in this study. Although only a small number of children were vaccinated as a result of this intervention, text messaging can be used to improve vaccination rates, particularly in settings where traditional methods have proven ineffective.

Tables 3 and 4 detail the costs associated with implementing this intervention. The costs for each of the 3 scenarios are summarized in Table 4. The cost per child for all needed services, even if the parent did not respond to the text message, was effective at improving vaccination rates. With the exception of the MCV vaccination, text messaging was more effective than any of the other methods used in this study for increasing vaccination rates. Further studies are needed to evaluate the effectiveness of this intervention in other populations.
a desired action can increase the likelihood of success.\textsuperscript{19–23}

Bidirectional text messaging offers a prompt to form an implementation intention which may “nudge” a parent in the direction of the desired behavior,\textsuperscript{24} which in this case was to take their child in for a needed vaccination or well-child visit. One previous study showed a small increase in uptake of influenza vaccine by using a similar psychological prompt.\textsuperscript{11} Such low-cost psychological interventions to change behavior deserve further examination in the field of immunizations.

This study must be considered in the context of low uptake of HPV vaccine.\textsuperscript{25} For approximately half the study population, a missing dose of HPV vaccine was the only needed service, and approximately three-fourths of the study population was missing the first dose. For some of these adolescents, parents may have refused HPV vaccine at a previous visit and therefore a text message might not be expected to have much effect. Nonetheless, with low national rates for initiation and completion of the HPV vaccine series, our finding of improvement in uptake of HPV vaccine with a simple text message has important implications. Because there are so many adolescents nationally missing HPV vaccine, text messaging could offer a needed boost to low rates at a relatively low cost. However, strategies to address parental and provider attitudes are needed to substantially affect HPV vaccination coverage levels.

Although this study demonstrates that text messaging is effective at increasing vaccination rates in adolescents, it is unknown if practices will use this technology. Few providers use reminder/recall for vaccination presently.\textsuperscript{8,9} There are several reasons why one might expect practices to adopt text messaging over traditional reminder/recall modalities. Text messaging for reminder/recall has the advantage of scalability. It has the potential to be less expensive than mail or personal phone calls, and certainly can be automated much more easily. It also offers the potential advantage to parents of being more efficient than a telephone call, whether automated or personal, as parents can quickly read the message rather than listen to a telephone reminder that lasts 30 seconds or more. Further, text reminders often remain on the phone, so there is no need for a parent to write down the reminder as there would be with a telephone call. As technology advances and interfaces improve between electronic health records (EHRs) and state immunization information systems, identifying which patients are due or overdue for vaccinations or WCC should become easier for practices to do, and therefore text messaging for such reminders could be automated. Text messaging also could be used by state or local public health departments through local or regional immunization information systems, as centralized reminder/recall has been shown to be more effective than reminder/recall performed by individual practices.\textsuperscript{8}

Similar to a recent cost-effectiveness study of postal and auto-dialer reminder/recall for adolescent vaccines,\textsuperscript{6} we chose to reflect the cost of text message reminder/recall from the perspective of pediatric practices. However, in contrast to that study, we specifically chose not to address revenue in our cost analysis, as cost and payment for vaccines varies greatly across states and practices.\textsuperscript{16,27} If we compare only costs between the 2 studies, they were similar, at least for our scenarios 2 and 3 ($1087–$1349 in that study versus $855–$1658). Scenario 1 reflects a “worst-case scenario.”
for practices in early stages of EHR adoption or with rudimentary systems. In such cases, use of text messaging would likely be cost prohibitive. Scenario 2 reflects the situation for most practices in this study. The technology exists to use text message reminders in a more automated fashion, though, as reflected in scenario 3, and this analysis may provide a more accurate prediction of what practices in later stages of EHR adoption would spend to use text messages for reminder/recall. Some of our cost was related to the bidirectional nature of our intervention, an optional feature, and practices should consider this in interpreting these data.

This study has strengths and limitations. It is the first study performed in a mixture of public and private settings not affiliated with an academic medical center, and is therefore potentially more generalizable than previous studies. We also had a large sample size. However, it was all in 1 state in urban or suburban areas. We also were not able to fully explore the impact of bidirectionality, as we did not directly compare unidirectional with bidirectional text messaging. Also, the text messages were delivered only in English, which could have blunted the impact of the intervention in non–English speaking families. Finally, our study team did much of the work of data collection and organizing and sending the text messages, which limits assessments of sustainability.

CONCLUSIONS

Providers in diverse settings should consider text messaging as a viable method of reminder/recall in their adolescent patient populations, and the use of bidirectionality as a prompt for an intended action deserves further study. Although the cost of text message reminder/recall may be similar to more traditional reminder/recall modalities in the current environment, text messaging, because of its potential for automation and scalability, may represent the future of reminder/recall.

ACKNOWLEDGMENTS

We thank all of the providers and staff at the practices involved; this study would not have happened without them.

TABLE 5 Cost Effectiveness of Text Message Reminder Recall

<table>
<thead>
<tr>
<th></th>
<th>Scenario 1</th>
<th>Scenario 2</th>
<th>Scenario 3</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cost/n</td>
<td>Cost/n</td>
<td>Cost/n</td>
</tr>
<tr>
<td>Cost per clinic</td>
<td>3394.00</td>
<td>1658.40</td>
<td>855.00</td>
</tr>
<tr>
<td>Cost per child</td>
<td>5</td>
<td>10.48</td>
<td>5.12</td>
</tr>
<tr>
<td>Cost per all needed</td>
<td>173</td>
<td>98.09</td>
<td>47.93</td>
</tr>
<tr>
<td>Cost per well-child visit</td>
<td>69</td>
<td>245.94</td>
<td>120.17</td>
</tr>
<tr>
<td>Cost per all vaccinations</td>
<td>197</td>
<td>86.14</td>
<td>42.09</td>
</tr>
<tr>
<td>Cost per any vaccination</td>
<td>301</td>
<td>56.38</td>
<td>27.55</td>
</tr>
</tbody>
</table>

Costs (in $) are based on the number of children who needed each specified service.

* Scenario 1: no electronic system linkage between clinical, scheduling, billing, or text messaging and no cell-phone field in electronic data; scenario 2: system linkages between clinical, scheduling, and billing but not text messaging and with a cell-phone field; scenario 3: each of the 4 systems linked and cell-phone field.

ABBREVIATIONS

CI: confidence interval
CIIS: Colorado Immunization Information System
EHR: electronic health record
HPV: human papillomavirus
ITT: intention-to-treat
MCV: meningococcal conjugate vaccine
NNT: number needed to treat
RR: risk ratio
Tdap: tetanus-diphtheria-acellular pertussis vaccine
WCC: well child care

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REFERENCES

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ERRATA


On page 1, Dr. Darren Eblovi was omitted from the author list. The full author listing should have been: Sean T. O’Leary, MD, MPH\(^a,b\), Michelle Lee, MPH\(^b\), Steven Lockhart, MPH\(^b\), Sheri Eisert, PhD\(^c\), Anna Furniss, MS\(^b\), Juliana Barnard, MA\(^b\), Darren Eblovi, MD, MPH\(^b\), Doron Shmueli, MS\(^b\), Shannon Stokley, MPH\(^d\), L. Miriam Dickinson, PhD\(^b,e\), Allison Kempe, MD, MPH\(^b,d\).

On page 1, Dr. Darren Eblovi was omitted from the contributors’ statements. His statement should have appeared between those of Ms. Barnard and Ms. Furniss, as: “Dr. Eblovi conducted the literature review, researched and identified text message programs, participated in team meetings regarding the development of the intervention, and critically reviewed the manuscript;”.

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